

The role of sleep in healthy brain ageing

Professor Sharon Naismith

Leonard P Ullman Chair

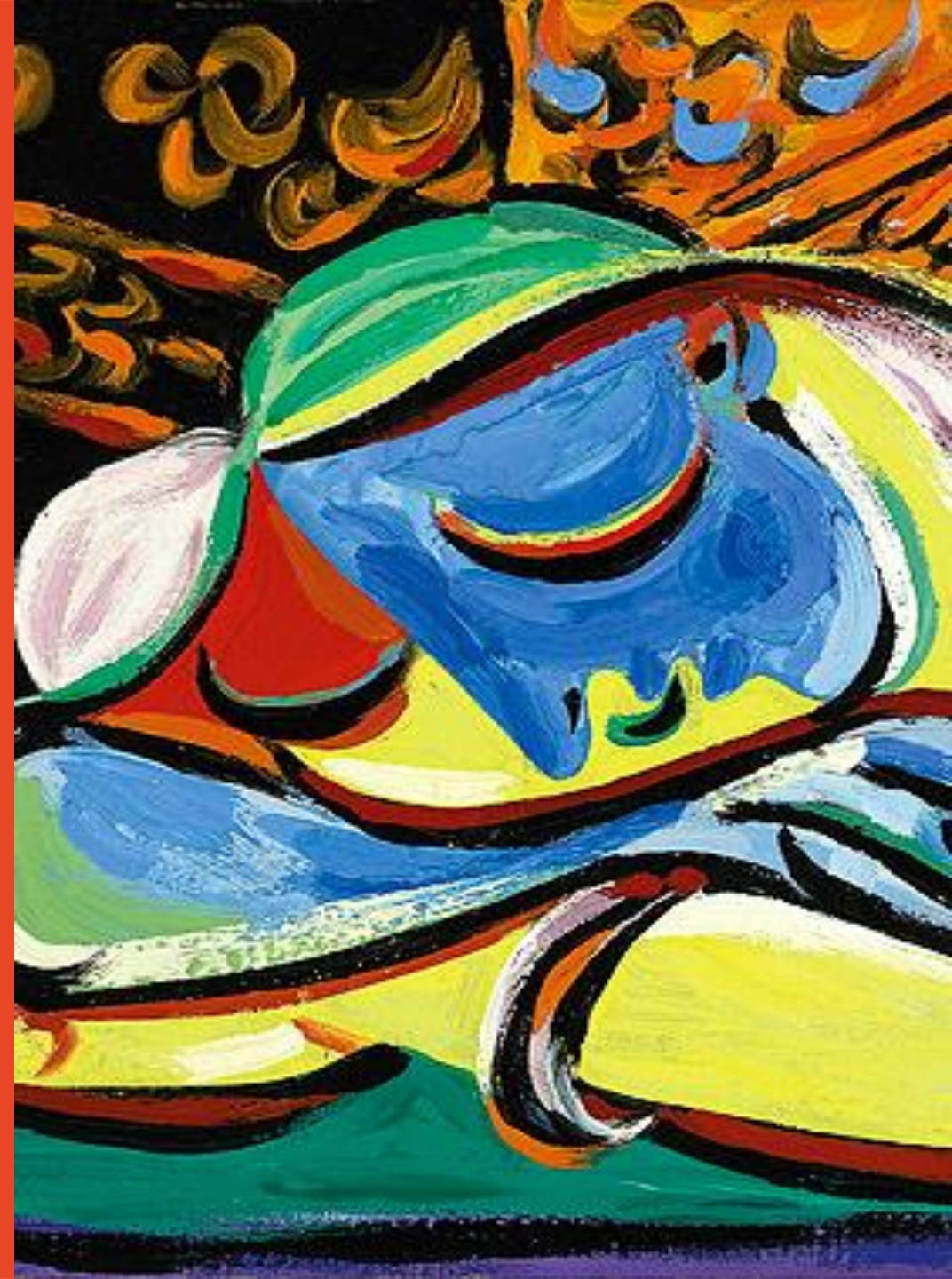
Head, Healthy Brain Ageing Program

School of Psychology

Charles Perkins Centre & Brain and Mind Centre



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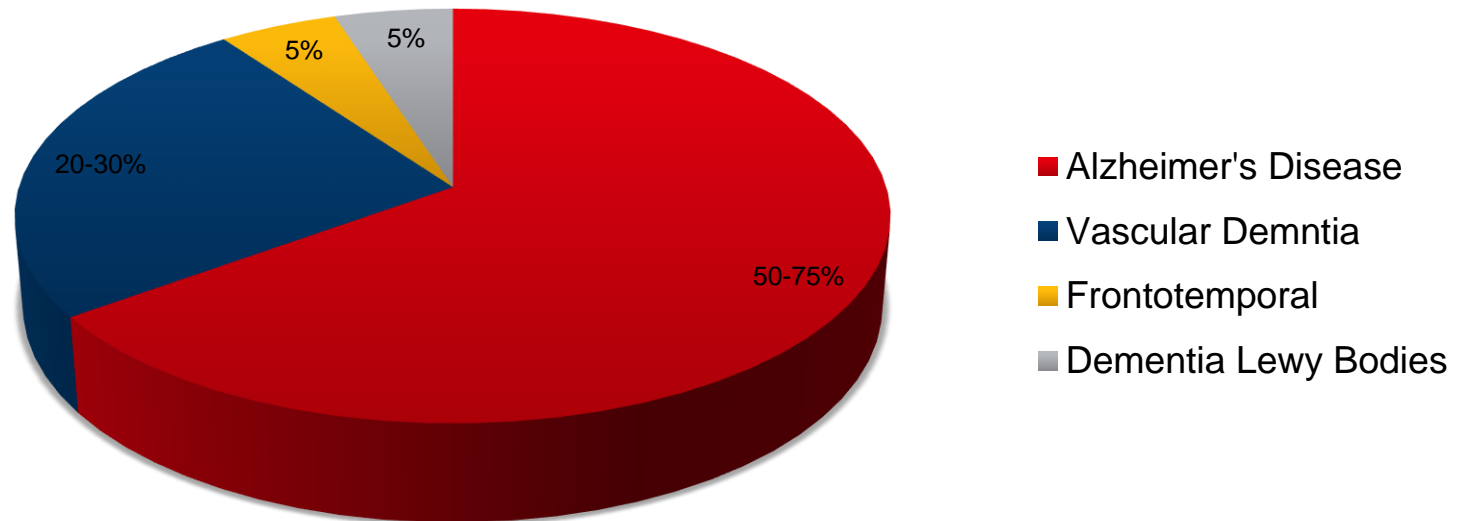


Overview

- Dementia background
- A few sleep fundamentals
- Evidence linking sleep and dementia
- Assessment of sleep disturbances in older people
- What treatments are available?
- Top ten tips for patients

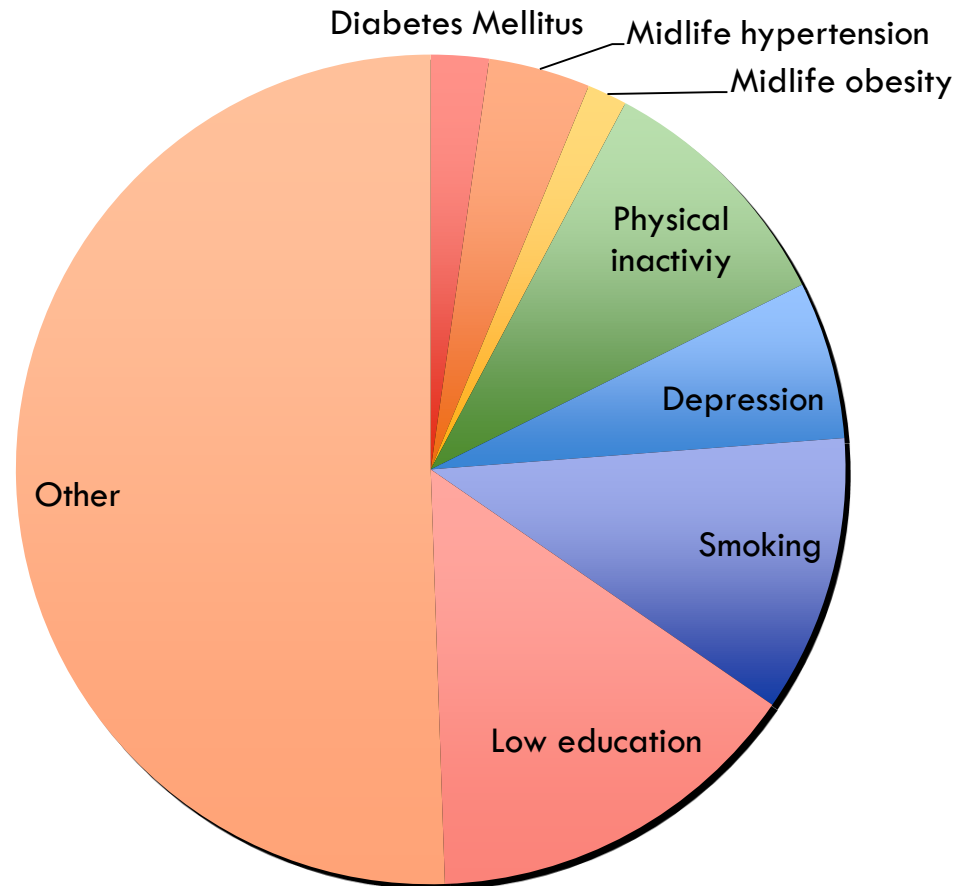
What is dementia?

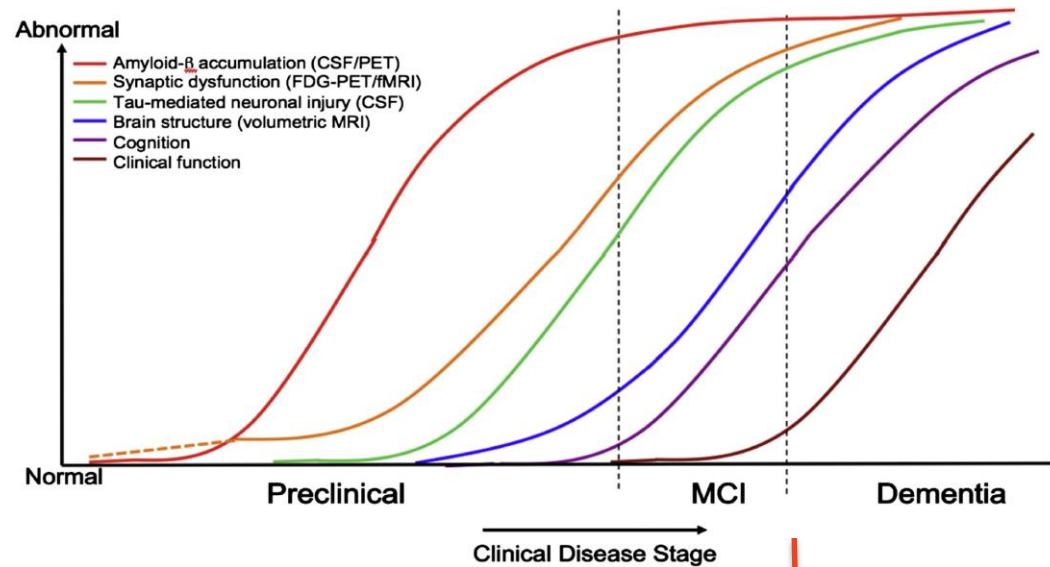
- Impairment of brain functions such as memory, language, executive functioning, personality, attention, processing speed
- Two key principals underlie the concept of dementia:
 - 1) Person has experienced decline from a previously higher level of functioning
 - 2) Significantly impaired ability to function at work or usual activities.
- Many different types of neurodegenerative dementia:
 - Alzheimer's disease (AD)
 - Vascular dementia (VaD)
 - Mixed dementia
 - Frontotemporal dementia (FTD)
 - Lewy Body dementia
 - Parkinson's disease dementia
 -amongst others.....



Rationale for Dementia Risk Reduction

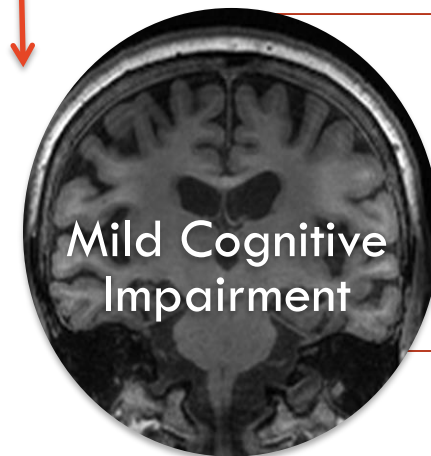
Around 50% of the risk for Alzheimer's disease is due to modifiable risk factors



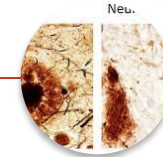


Pathology in the brain leading to dementia occurs 10-20 years before symptoms emerge

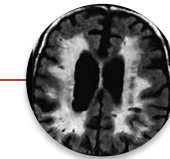
Sleep disturbance is a key feature of all the major dementias



Dementia with Lewy Bodies



Alzheimer's



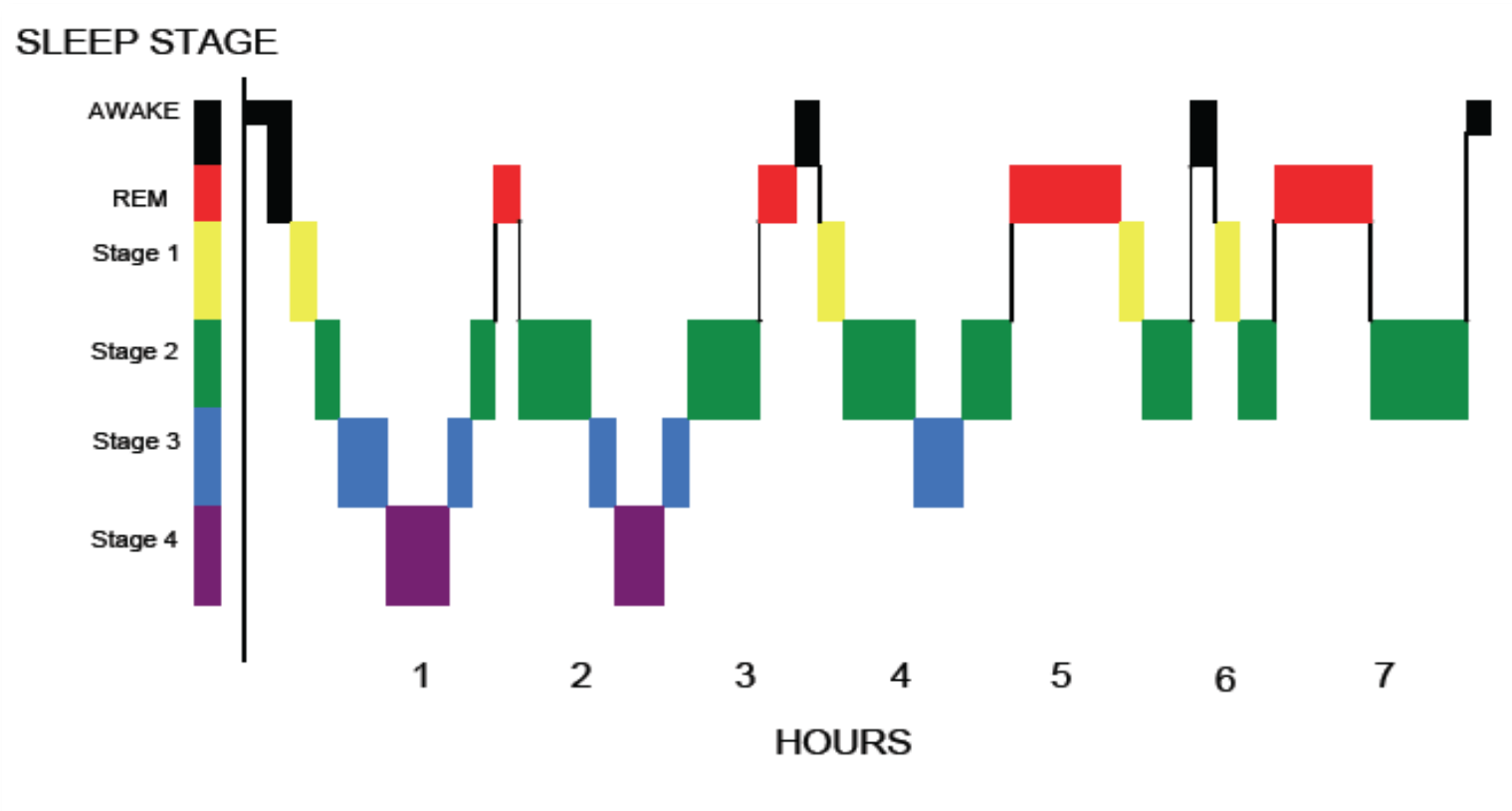
Vascular Dementia

A few sleep fundamentals



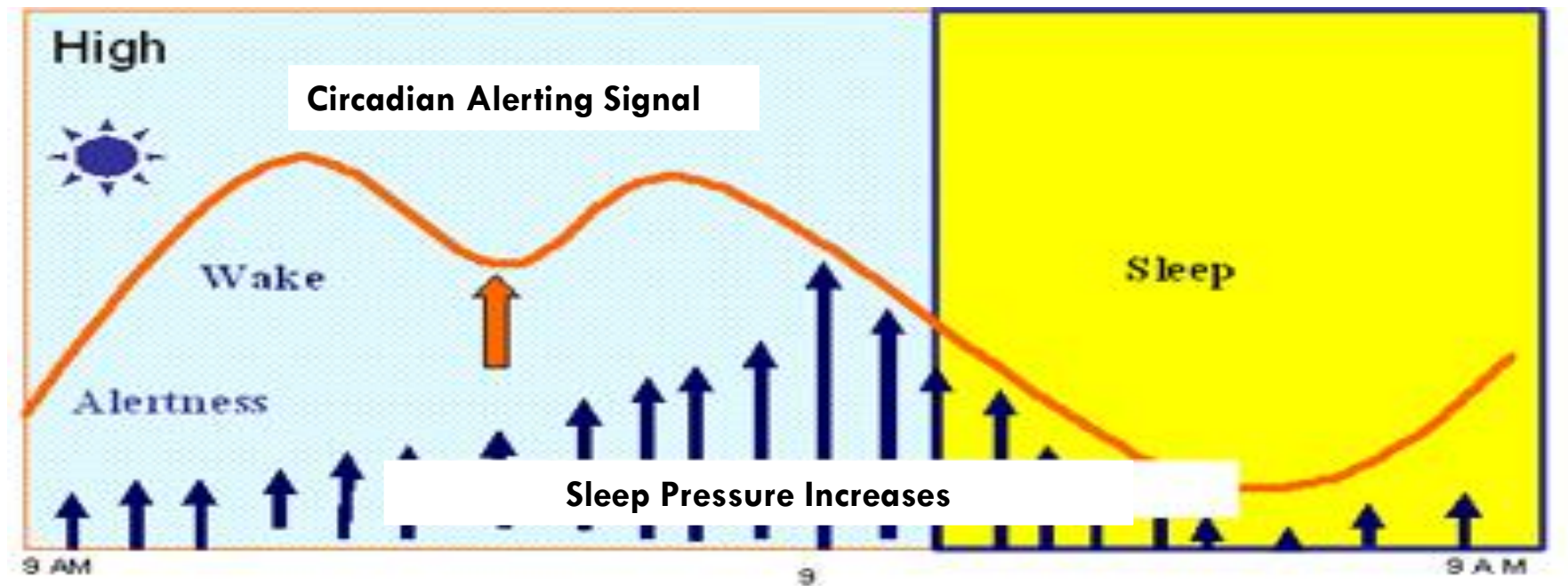
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The structure of nocturnal sleep

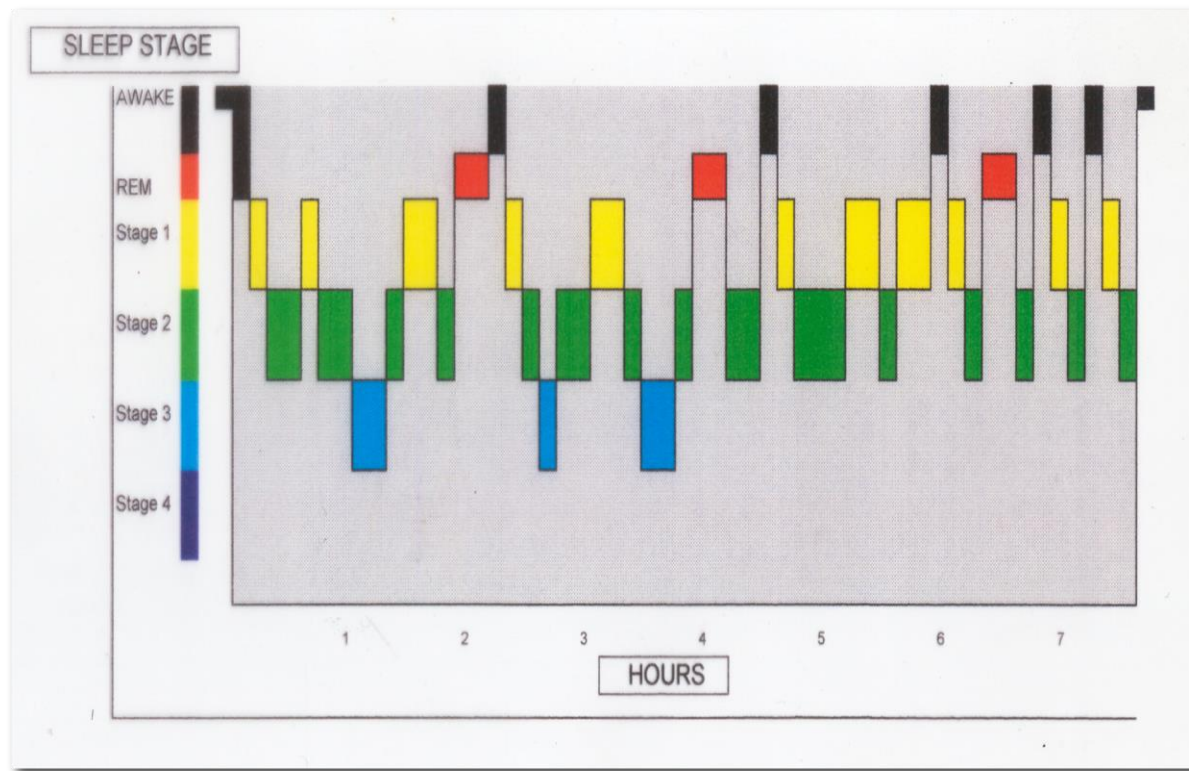


- Sleep is divided into 2 main types:
 - Non Rapid Eye Movement (NREM)
 - divided into 4 stages
 - stage 1 (light sleep), 2, 3, 4
 - Rapid Eye Movement (REM)
- 90 min cycles

Sleep-wake activity is a balance between sleep pressure and circadian alerting signal



How does the sleep-wake system change as we age?



- Shallow, fragmented
- Decreased slow wave sleep (deep sleep)
- Decreased Rapid eye movement (REM; dreaming) in second half
- Decreased sleep duration
- Daytime sleepiness
- Longer to recover from lack of sleep
- Circadian: decreased amplitude, advanced timing

The benefits of sleep

- Mood, alertness, wellbeing
- Regulation of immune responses and pro-inflammatory cytokines including IL-6, CRP, TNF-alpha
- Health effects (restriction = \uparrow glucose sensitivity and \uparrow insulin resistance, \uparrow BP and heart rate)
- Synaptic density/strength/efficiency

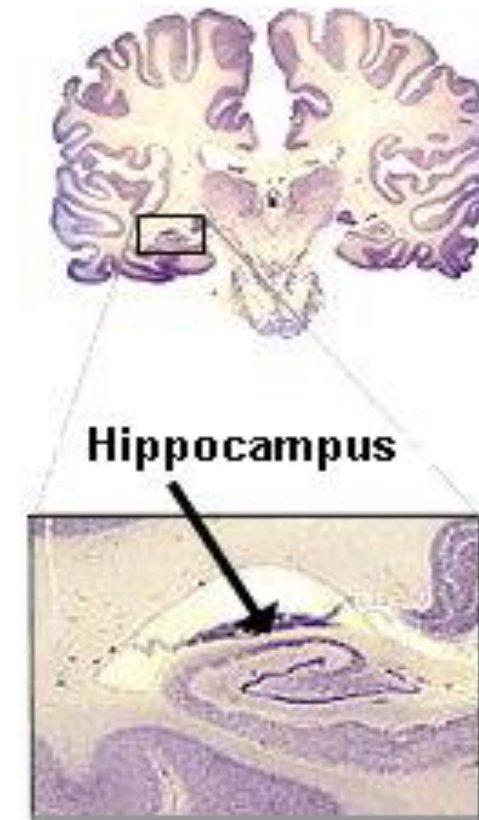


What are the functions of sleep?

Hippocampal neurogenesis

Prolonged sleep loss/disruption may effect hippocampal neurogenesis

- Supports the production of new cells and their development into neurons
 - 1 day loss, little effect
 - Prolonged disruption leads to major decreases in hippocampal cell proliferation
 - REM - cell proliferation
 - NREM + REM - the *number* of cells that subsequently develop into adult neurons



Human studies:

- Sleep restriction and poor sleep quality are associated with smaller hippocampi



Hippocampal Volume in Older Adults at Risk of Cognitive Decline: The Role of Sleep, Vascular Risk, and Depression

Emma L. Elcombe^a, Jim Lagopoulos^b, Shantel L. Duffy^a, Simon J.G. Lewis^{a,b}, Louisa Norrie^{a,b}, Ian B. Hickie^{a,b} and Sharon L. Naismith^{a,b,*}
^aHealthy Brain Ageing Program, University of Sydney, NSW, Australia
^bClinical Research Unit, Brain & Mind Research Institute, University of Sydney, NSW, Australia

Sleep medicine reviews

thor Manuscript

HHS Public Access

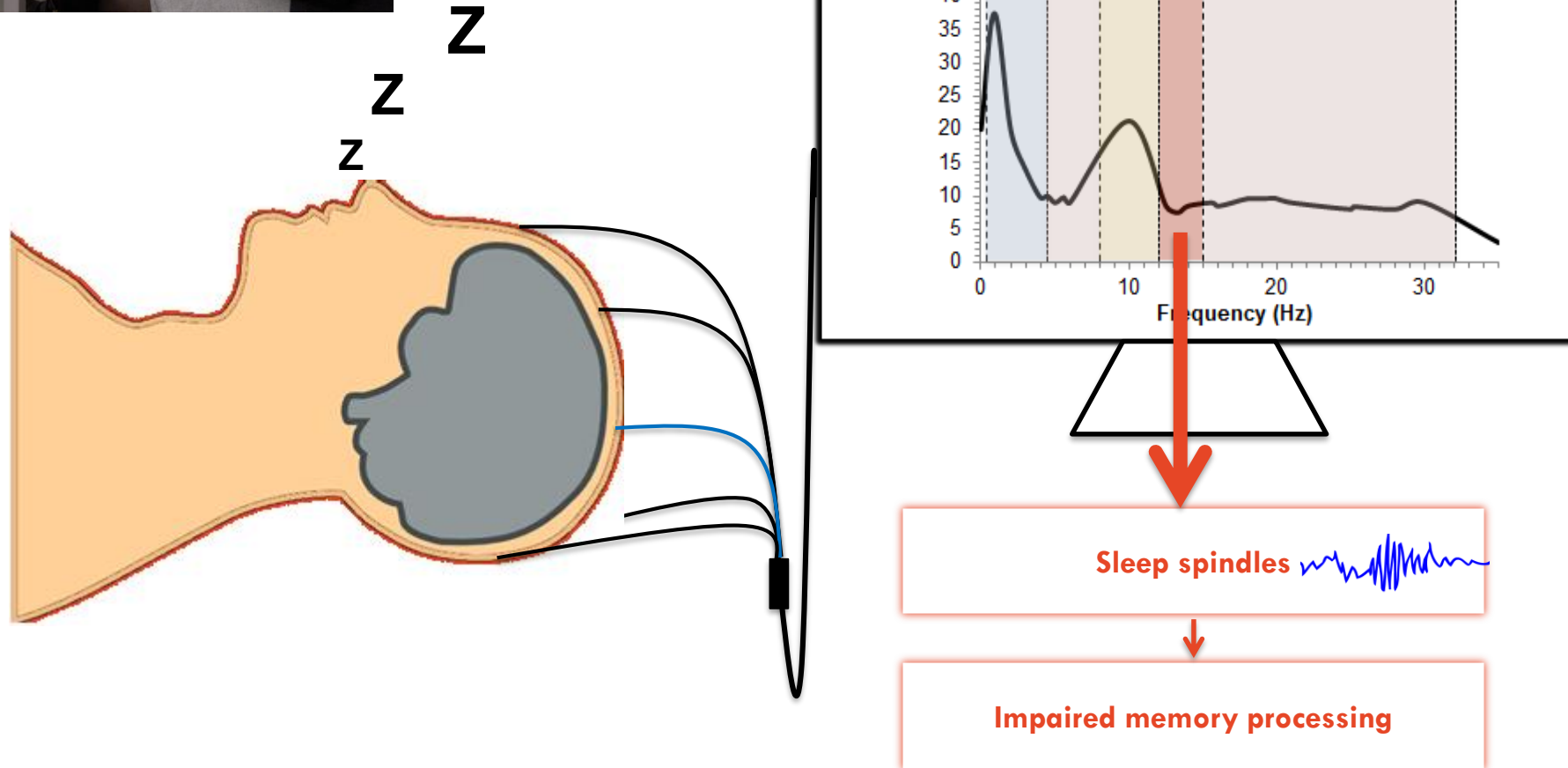
New neurons in the adult brain: The role of sleep and consequences of sleep loss

Peter Meerlo, Ralph E. Mistlberger, [...], and Dennis McGinty

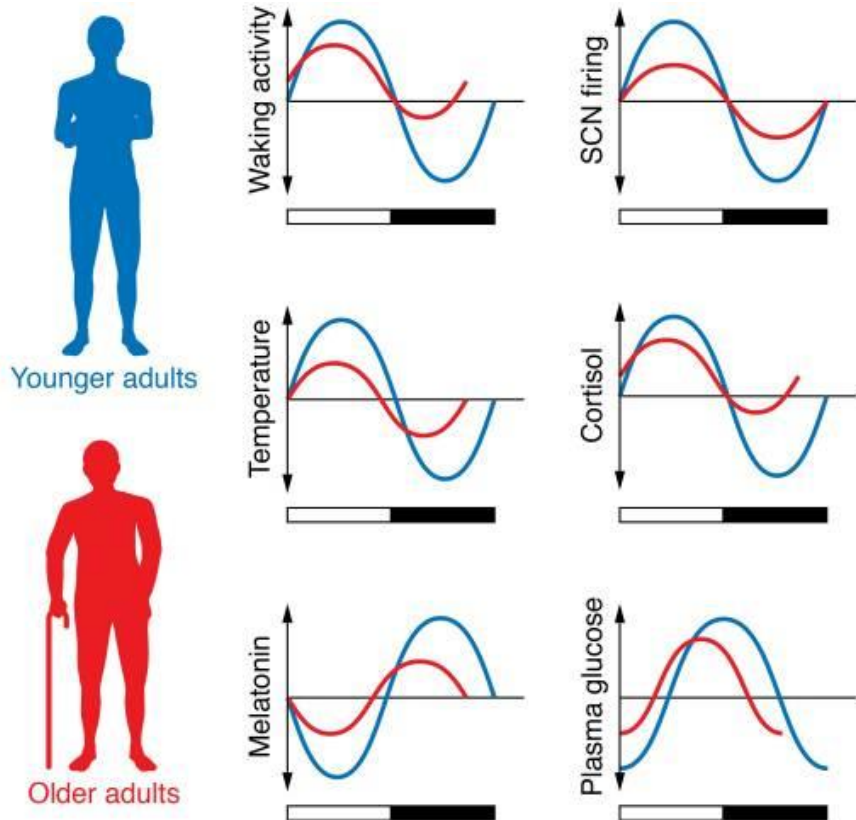


What are the functions of sleep?

Sleep Dependent Memory

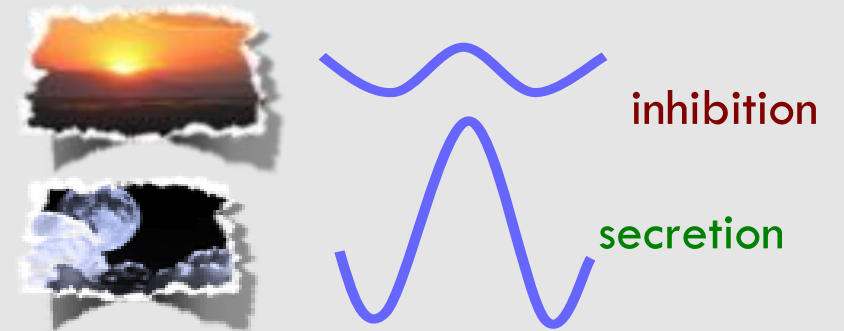


What about circadian rhythms?



- Co-regulates timing, structure and consolidation of sleep
- Generated by the suprachiasmatic nucleus (SCN) of the hypothalamus (‘Master clock’)

- Regulated by environmental signals
- Exerts circadian influence via many signals particularly melatonin, a hormone produced by the pineal gland



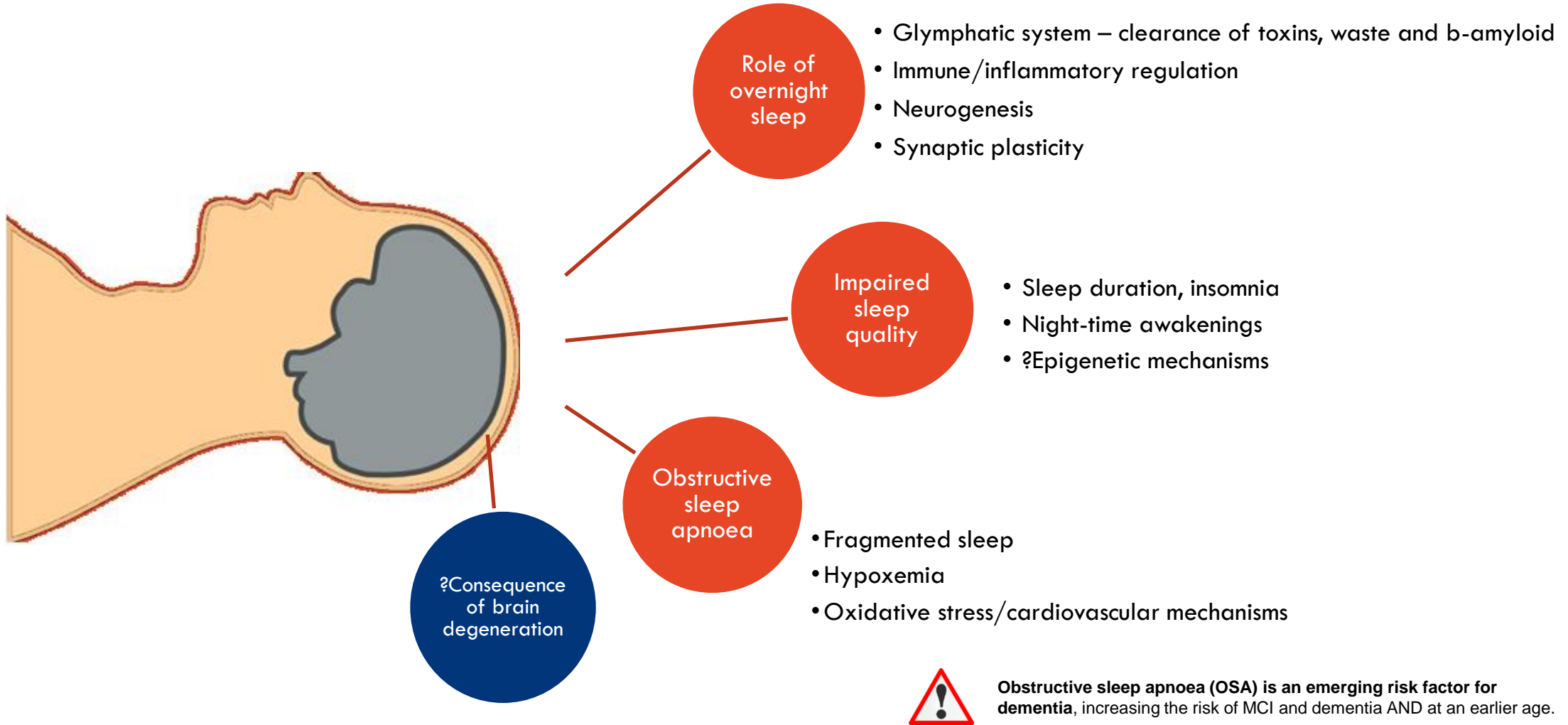
- Multiple age related changes

Sleep-wake problems in older adults



- 50% of older adults have chronic sleep complaints
 - Prevalence range 9-69%
- Insomnia: Most frequent complaint in later-life (rates 30-60% or 12-25% using more stringent criteria)
- Older adults twice as likely to be prescribed a sedative or hypnotic than younger adults

How is sleep disturbance linked to cognitive decline?



Evidence linking sleep and dementia



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Why are we concerned about sleep and brain degeneration?

- Alzheimer's Disease:
 - Predictive of more rapid decline and shorter survival
 - Prospective studies: Poor self-reported sleep & PSG sleep quality increases risk
- Parkinson's Disease
 - Prodromal feature
 - Linked to poor quality of life and depression
- Dementia with Lewy Bodies
 - REM Sleep Behaviour Disorder



Is self-reported sleep quality a predictor of dementia?

- 18 longitudinal studies (n= 246,786) subjects at baseline and n=25,847 dementia cases after an average 9.49 y of follow-up.
- Subjects who reported sleep disturbances had a higher risk of incident all-cause dementia, AD, and vascular dementia, $RR = 1.19$.



Is sleep duration a predictor of dementia?

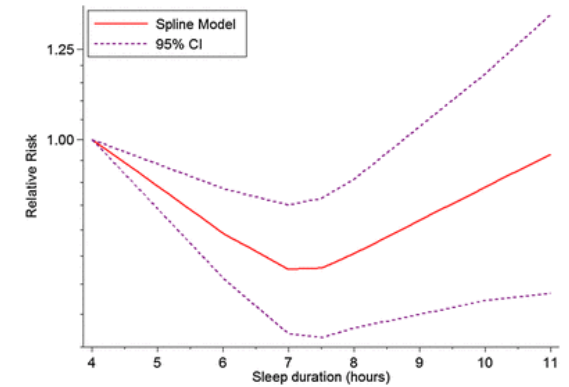
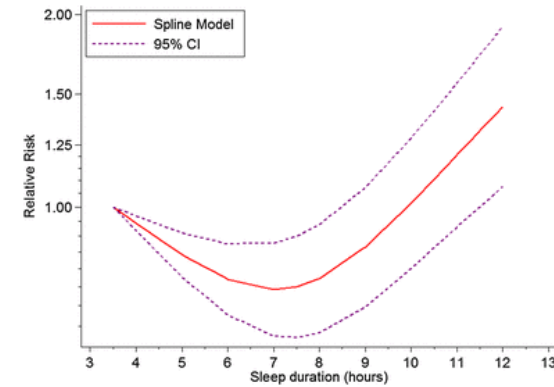
6 studies examined risk of MMSE cognitive decline (n = 46,068 subjects)

Pooled RR per 1 h increases in sleep duration was 0.99 (95% CI 0.97–1.01)

“U” shaped curve with lowest point located at 7 h was detected

4 studies examined risk for MCI/dementia (n = 24305, 2718 cases)

The “J” shaped dose–response association between sleep duration and the risk of MCI/dementia was found (combined RR for per 1 h increases in sleep duration = 0.98, 95% CI 0.97–1.00)



Aging Clinical and Experimental Research
<https://doi.org/10.1007/s40520-018-1005-y>

REVIEW

Non-linear associations between sleep duration and the risks of mild cognitive impairment/dementia and cognitive decline: a dose–response meta-analysis of observational studies

Ying Liang¹ · Ling-Bo Qu² · Hao Liu¹

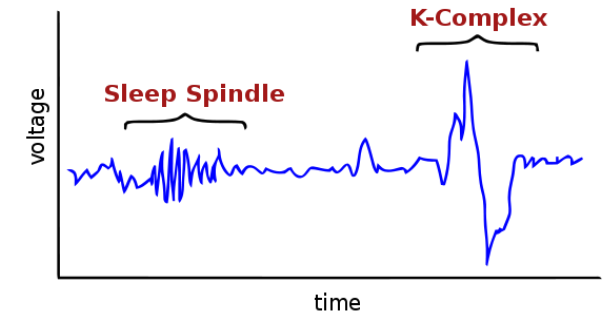
What is the nature of the sleep-wake changes in Alzheimer's?

- 40-50% - sleep disturbance
- Daytime agitation, nocturnal insomnia, restlessness - 'Sundowning'
- Hypersomnia
- Up to 40% of nocturnal time awake
- Daytime napping
- Predictive of more severe cognitive decline
- In early AD, linked to working memory, verbal fluency, memory change and executive functioning
- Linked to carer burden and institutional care



AD: sleep architecture and circadian change

- Amplification of usual ageing changes
- More stage 1 sleep, fragmented sleep
- Predictive of more pronounced cognitive decline
- Sleep apnoea 35-63%
 - 33-70% have sleep disordered breathing
 - 70-80% of patients with dementia with AHI>5
 - 48% with AHI>20
- Reduced and poorly formed sleep spindles:
 - Related to memory consolidation
- Prominent circadian change
- Degeneration in SCN \Rightarrow decreased melatonin
- Neuropathological studies – decreased melatonin in pineal gland



Systematic review and meta-analysis of prevalence and associates of sleep problems in people with dementia living in care homes

Lucy Webster¹, Sergi Costafreda¹, Aisling Stringer¹, Amy Lineham², Jessica Budgett¹, Simon Kyle³, Julie Barber⁴, Rossana Horley⁵, Gill Livingston¹

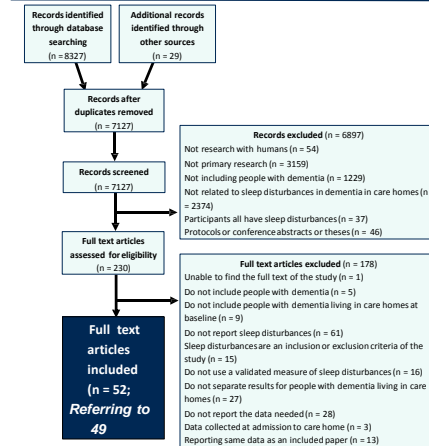
¹Division of Psychiatry, UCL; ²Medical School, UCL; ³Sleep and Circadian Neuroscience Institute, University of Oxford; ⁴Department of Statistical Science, UCL; ⁵Alzheimer's Society Research Network, UK

Background

- ❖ Sleep problems are common in people living with dementia
- ❖ They include:
 - ❖ difficulty falling asleep
 - ❖ night-time awakenings
 - ❖ waking too early
 - ❖ excessive daytime sleepiness
- ❖ They affect individuals and families
- ❖ May cause people with dementia to move to care homes
- ❖ So sleep problems may be more common in people with dementia living in care homes
- ❖ There are no systematic reviews or meta-analyses on this topic



Studies



- ❖ 52/7127 papers included
 - ❖ 45 on prevalence & 19 on associates
- ❖ We emailed authors for further papers and data
 - ❖ Additional data received from 16 studies
- ❖ Most studies from Europe (35)
 - ❖ Others from Australia (4), China (3), Japan (3), South Korea (2), USA (1), and Brazil (1)
- ❖ We meta-analysed pooled estimates of prevalence
- ❖ Synthesised reports of associated factors
- ❖ We divided sleep problems into:
 - a) Clinically significant cases on validated questionnaires
 - b) Any symptoms on validated questionnaires
 - c) Night-time sleep problems measured on actigraphy

Method

- ❖ We searched electronic databases to November 2017
- ❖ Included: studies reporting prevalence or associates of sleep problems on validated questionnaires or actigraphy in people with dementia living in care homes
- ❖ Excluded: studies reporting sleep apnoea, rest-activity rhythms or circadian rhythms
- ❖ Two researchers independently decided if studies fulfilled inclusion criteria
- ❖ Two raters independently rated study quality according to criteria and reached consensus

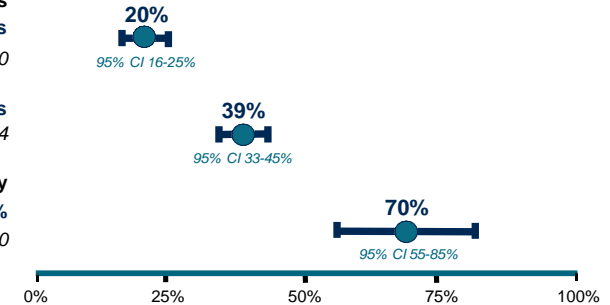
How prevalent are sleep problems?

Measured on questionnaires
Clinically significant sleep problems
17 studies; n=6860

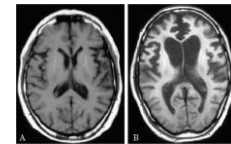
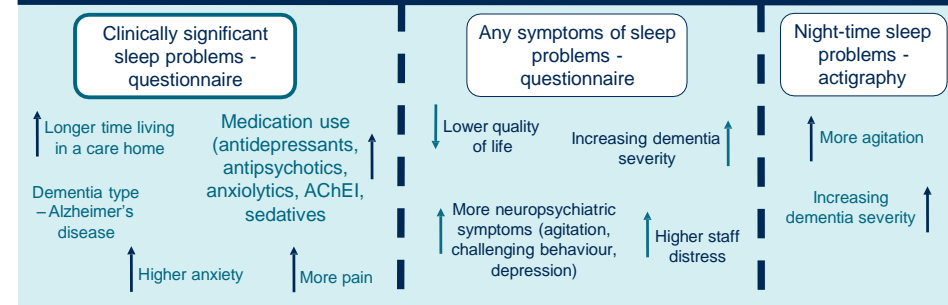
Any symptoms of sleep problems
27 studies; n=14,184

Measured on actigraphy
Sleep efficiency <85%
5 studies; n=240

Meta-analyses of prevalence



What is associated with sleep problems?



CT scans showing brain atrophy in the same individual with frontotemporal dementia 3 years apart.
DOI: <https://doi.org/10.1212/WNL.55.8.1224>

Conclusions

- ❖ 20% of people with dementia living in care homes have clinically significant sleep problems
- ❖ Actigraphy (sleep efficiency <85%) may overestimate how many people have sleep problems as measuring movement
- ❖ However staff may not realise that residents are awake at night
- ❖ Both agitation and increasing dementia severity are associated with sleep problems on questionnaires and actigraphy



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Funding
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Are sleep-wake changes evident in Mild Cognitive Impairment (MCI)?

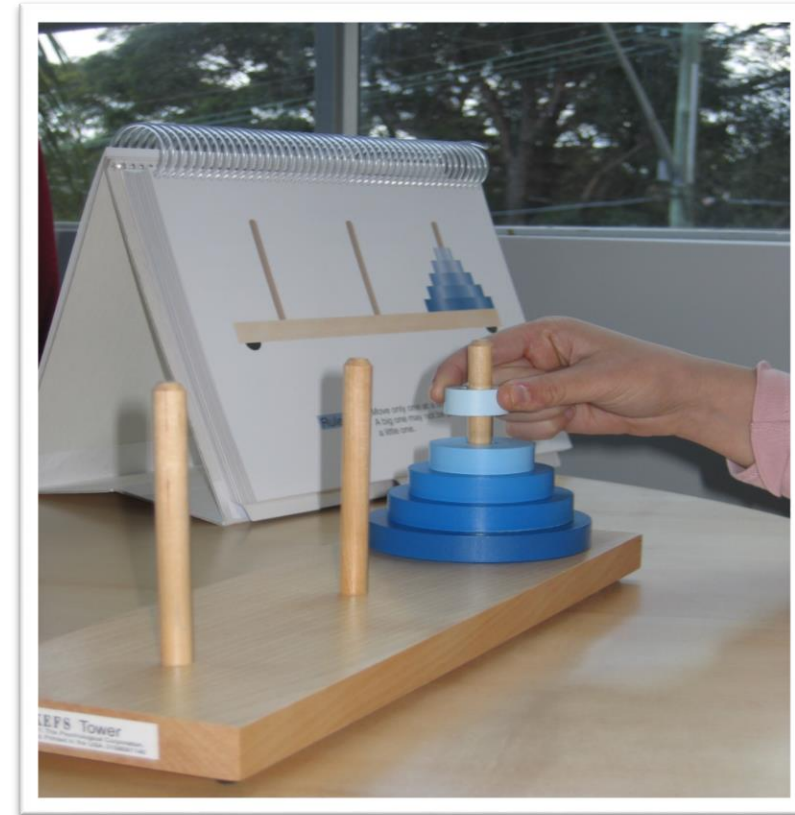
PSQI sleep disturbance: 63% of MCI and 44% of controls

| | Unique R ² , % |
|---------------------------|---------------------------|
| Antidepressant use | ns |
| Time spent exercising | ns |
| Disability rating | ns |
| Age | 0.9 |
| Depression | 14.6 |
| Alcohol | 4.3 |
| Education | 3.4 |
| MMSE | 1.9 |
| Shared predictor variance | 10.4 |

Full model: $R^2 = 35.5$, $n = 158$ MCI

Method: 1) Forced entry, age; 2) Stepwise entry, all significant univariate predictors.

Non-significant univariate predictors include: Vascular risk factors (heart disease, diabetes, cholesterol, smoking history, hypertension), Body Mass Index, Medical burden, Anxiety



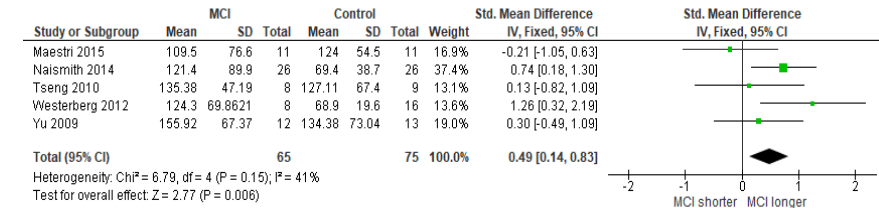
Is sleep macroarchitecture altered in MCI?

Unpublished data,

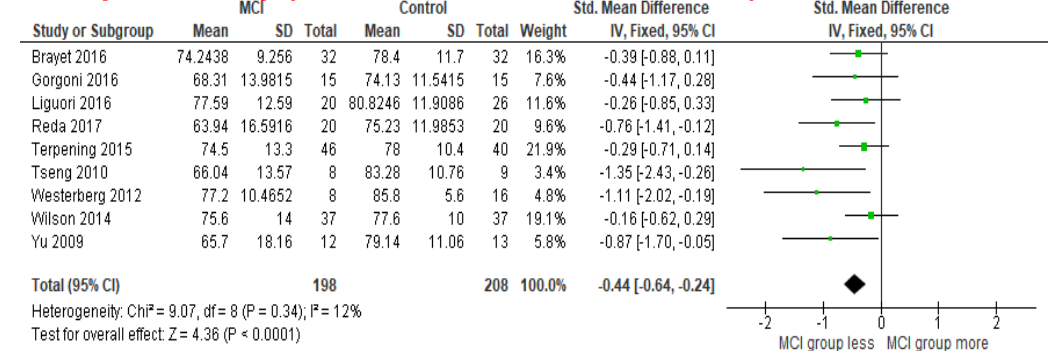
Meta-analysis: 14 studies

- REM Latency (+31 mins)
- Total sleep time (-27mins)
- Sleep efficiency -5%
- WASO (+18mins)
- Latency (+6mins)
- ODI (+10.8)
- Not SWS or AHI

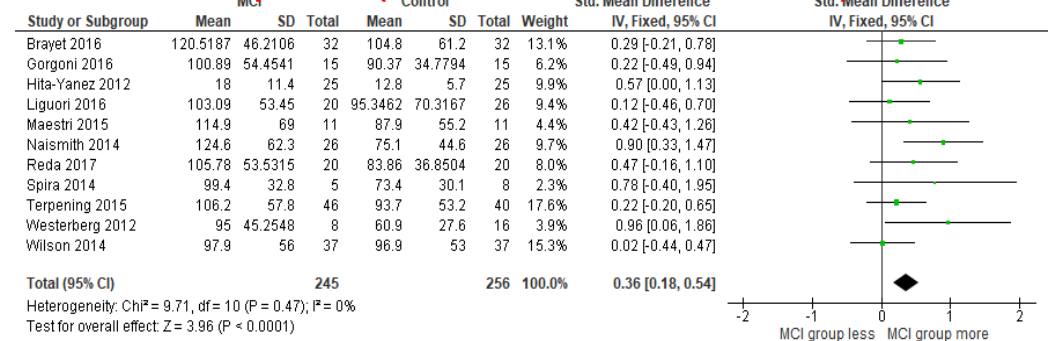
REM latency (standardised mean difference)



Sleep efficiency (standardised mean difference)



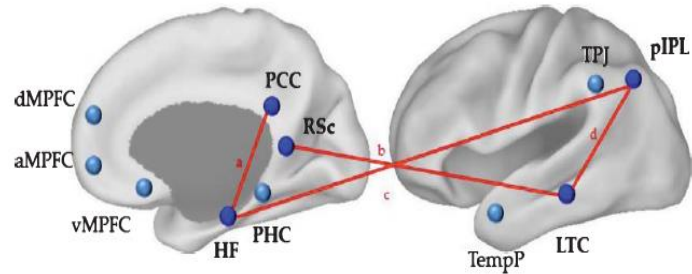
Wake after sleep onset (standardised mean difference)



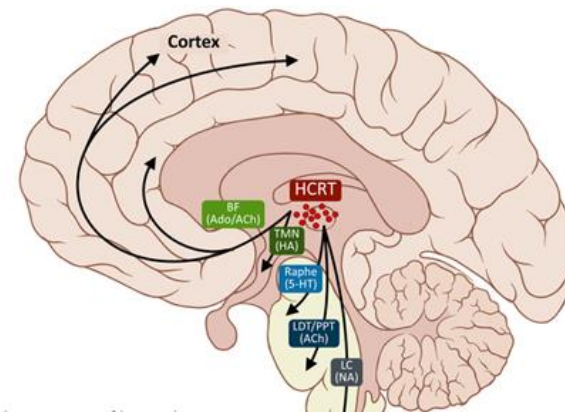
How do sleep changes relate to brain integrity in Mild Cognitive Impairment?



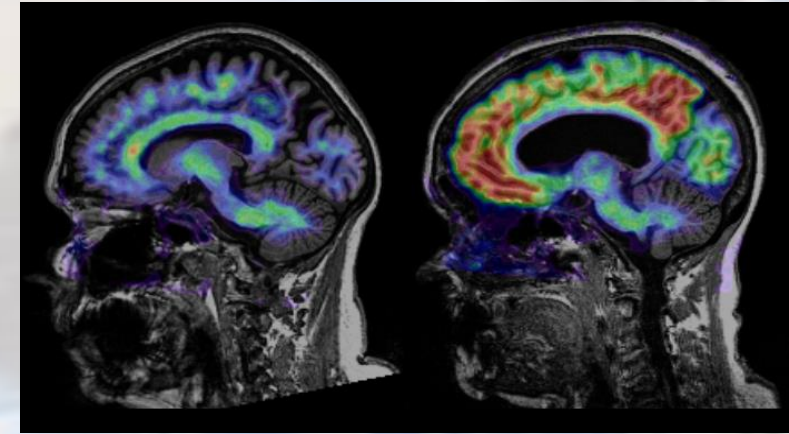
Self-report and actigraphic sleep relates to executive and memory performance



Self-report and actigraphic sleep relates to decreased connectivity between temporal and parietal networks



Increases in the wake promoting hormone
orexin

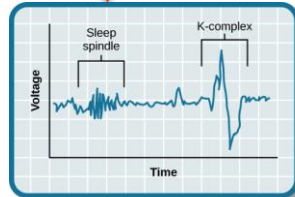
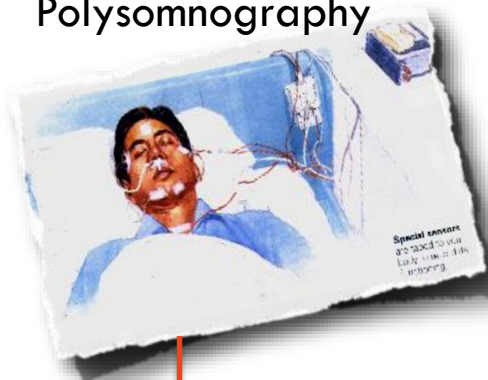


Self-report sleep relates to PET and CSF amyloid levels in healthy and MCI

Naismith et al, 2010; McKinnon et al, 2014, Ligouri et al, 2016 , Mander et al, 2014

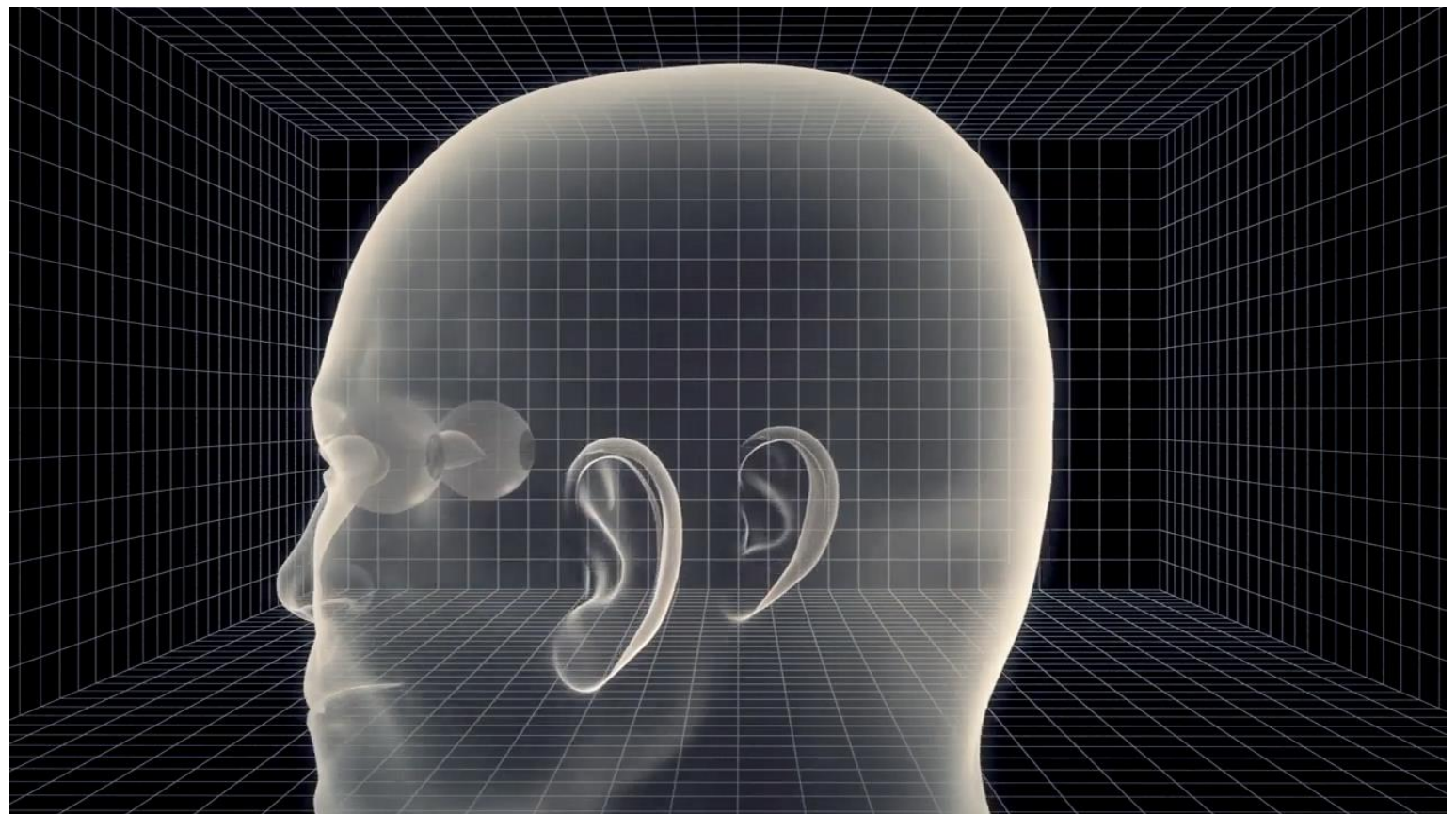
Delving deeper: sleep microarchitecture in MCI

Polysomnography



| | Controls N=40 | MCI N=60 | p |
|-------------------------------------|-------------------|--------------------|---------------------------|
| Total sleep time, mins | 363.4 (68.4) | 352.7 (100.7) | ns |
| Sleep efficiency/100 | 76.1 (10.6) | 73.8 (14.7) | ns |
| Lowest O2 desaturation, % | 87.1 (5.1) | 85.9 (5.2) | ns |
| Sigma power (C3-M2), 12-15Hz | 0.73 (.2) | 0.64 (.2) | * d=0.46 |
| Slow spindle range | 0.72 (.19) | 0.64 (0.21) | ** |
| Fast spindle range | 0.49 (.19) | 0.42 (.21) | ns |
| Delta, 1-4.5Hz | 2.6 (.2) | 2.5 (0.2) | * |
| Alpha, 8-12Hz | 1.27 (.2) | 1.16 (.2) | * |

Mechanisms by which sleep may promote brain integrity: The Glymphatic system



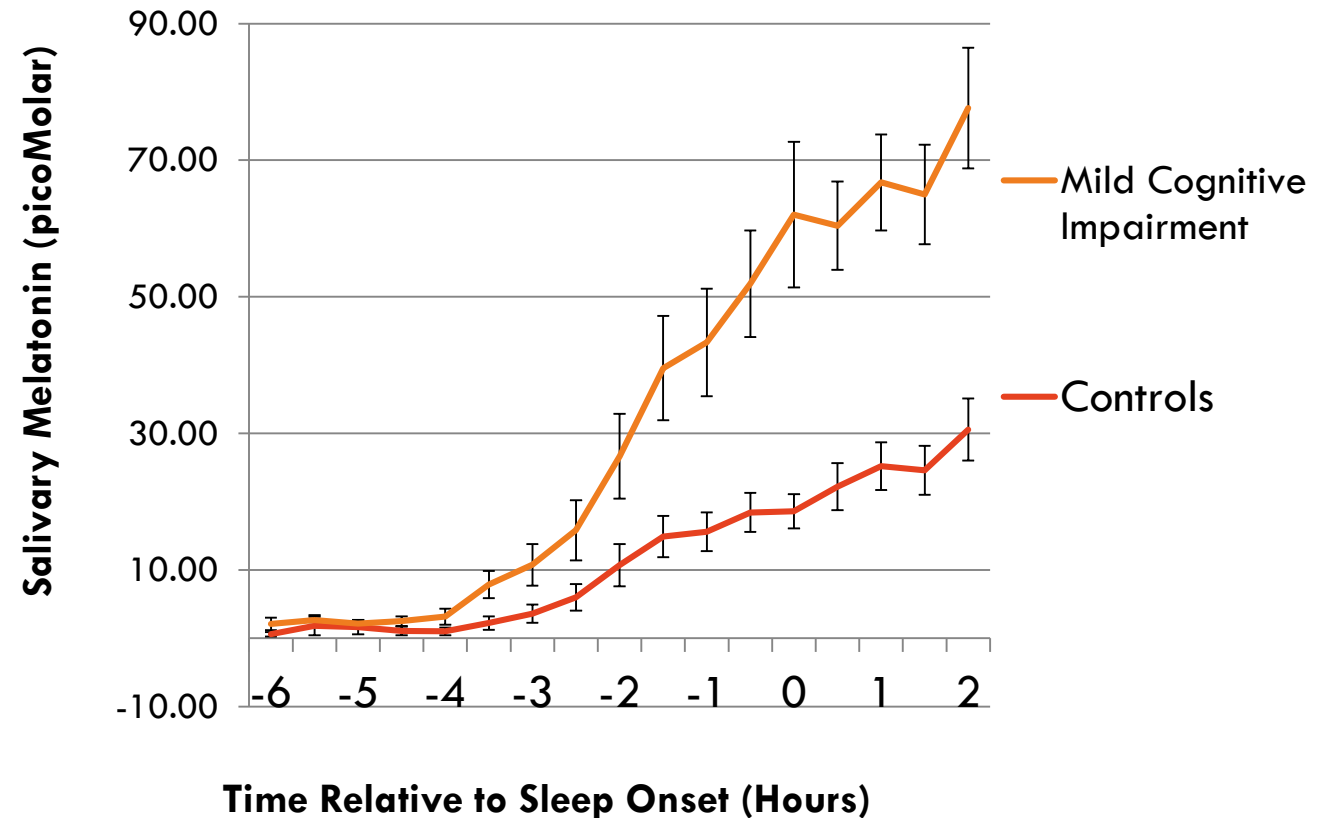
- The interstitial/extracellular concentration of β -amyloid has circadian oscillation - higher in the wake (even in states of darkness) state than the sleep state
- Xie et al in Science (2013) showed that sleep clears B-amyloid via the glymphatic system, particularly in slow wave sleep
- Sleep deprivation accelerates amyloid plaque deposition, whilst promoting sleep with orexin antagonists inhibits plaque formation

Are circadian rhythms linked to cognitive decline?

Brain effects of circadian misalignment:

- Astrogliosis, oxidative stress damage, synaptic degeneration, altered gene expression, functional brain connectivity, learning/memory, hippocampal neurogenesis & seizure threshold.

Altered timing of melatonin onset in MCI: associations with memory performance



Sleep-Wake Disturbances in Parkinson's Disease

- Sleep fragmentation
 - Insomnia (early, mid & late)
 - Discomfort (Wearing Off, Dystonia, Nocturia)
- Daytime somnolence
- Rapid Eye Movement sleep behaviour disorder (RBD)
- Restless legs syndrome (RLS)
- Sleep-disordered breathing



Look out for REM Sleep Behaviour Disorder

- Prodromal feature of Parkinson's disease and Dementia with Lewy Bodies (80% of RSBD cases progress to DLB)
- Loss of normal muscle atonia during REM sleep
- Dream enactment behaviour
- Congruent motor activity
 - Punching or shouting
- Injury
 - Self & bed partner 33%



Neurodegenerative disease status and post-mortem pathology in idiopathic rapid-eye-movement sleep behaviour disorder: an observational cohort study

Alex Iranzo, Eduard Tolosa, Ellen Gelpi, José Luis Molinuevo, Francesc Valldeoriola, Mónica Serradell, Raquel Sanchez-Valle, Isabel Vilaseca, Francisco Lomeña, Dolores Vilas, Albert LLadó, Carles Gaig, Joan Santamaria

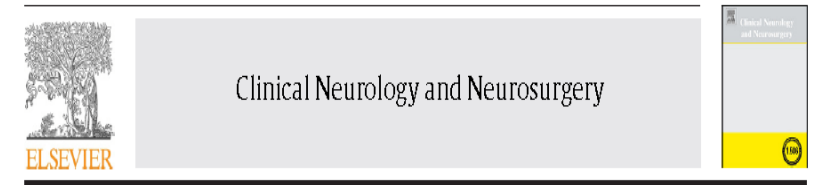
People with RSBD 120 more likely to develop these neurodegenerative diseases up to 20 years later

Diagnostic Criteria

- International Classification of Sleep Disorders (ICSD) – 2
 - REM without atonia during a sleep study
 - Abnormal REM behaviour on a sleep study
 - History of sleep related injury
 - Absence of REM related epileptiform activity
 - Absence of other potential etiology such as drug related, OSA

Limitations

- Scoring of REM sleep difficult in PD
 - Multiple arousals
- Subjective interpretation of RWA
 - Can be highly variable
- Limited access to PSG
 - Actigraphy
 - Questionnaires



The relationship between actigraphically defined sleep disturbance and REM sleep behaviour disorder in Parkinson's Disease

Sharon L. Naismith^a, Naomi L. Rogers^b, Jennifer Mackenzie^b, Ian B. Hickie^a, Simon J.G. Lewis^{a,*}

^a Parkinson's Disease Research Clinic, Ageing Brain Centre, Brain & Mind Research Institute, University of Sydney, 94 Mallett St, Camperdown, NSW 2050, Australia
^b Chronobiology and Sleep Group, Brain & Mind Research Institute, University of Sydney, NSW, Australia

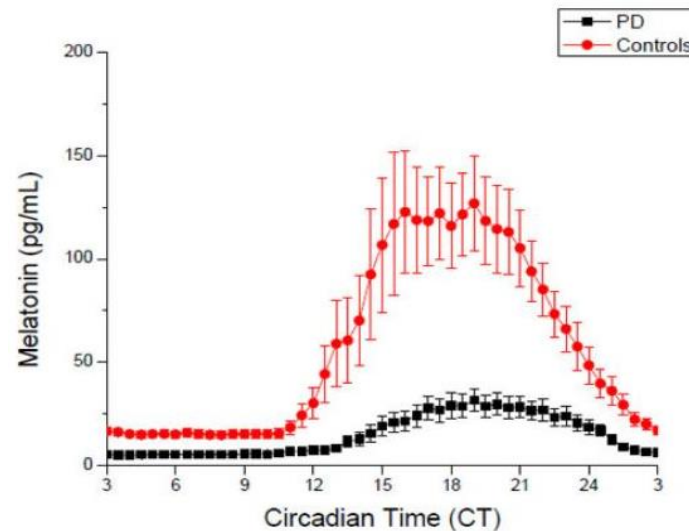
Original Article

Disturbances in melatonin secretion and circadian sleep–wake regulation in Parkinson disease

S.J. Bolitho^a, S.L. Naismith^b, S.M.W. Rajaratnam^c, R.R. Grunstein^d, J.R. Hodges^e, Z. Terpening^b, N. Rogers^f, S.J.G. Lewis^{a,*}

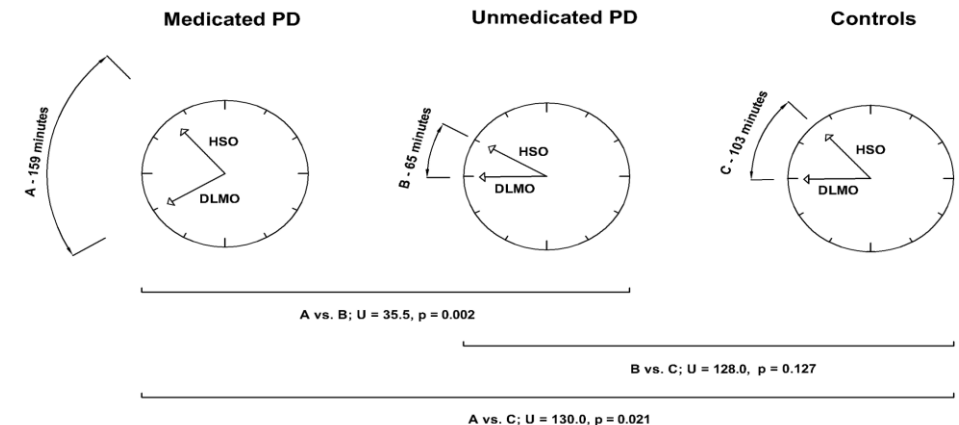
Melatonin secretion in PD

- Early stage but treated PD & Controls
- Plasma melatonin (every 30 mins)
- No phase differences (advance or delay)
- Lower Area UC



Videnovic et al JAMA Neurol 2014

- Does dopaminergic treatment influence circadian disturbance?
- 27 healthy controls
- Patient groups (matched)
 - 13 unmedicated patients
 - 16 medicated patients



How is sleep disordered breathing linked?



Journal of Clinical and Experimental Neuropsychology
2004, Vol. 26, No. 1, pp. 43–54

1380-3395/04/2601-043\$16.00
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Neurobehavioral Functioning in Obstructive
Sleep Apnea: Differences in Cognitive Function
Hypoxemia

S. Naismith^{1,2}, V. W.

**Sleep-Disordered Breathing, Hypoxia,
and Risk of Mild Cognitive Impairment**

Kristine Yaffe, MD

Cont

Association between Sleep-Disordered
Breathing and Neuropsychological
Performance in Older Adults
Cognitive Impairment

Zoe Terpening^{a,*}, Simon J.G. Lewis^a, Brendon J. Yee^{b,c},
and Sharon L. Naismith^a

Featured Article

Sleep characteristics and risk of dementia and Alzheimer's disease:
The Atherosclerosis Risk in Communities Study

Rebe

JAMA Neurology | Original Investigation

**Association of Sleep-Disordered Breathing With Cognitive
Function and Risk of Cognitive Impairment
A Systematic Review Meta-analysis**

Yue Leng, PhD; Claire T. McEvoy, PhD; Isabel E. Allen, PhD; Kristine Yaffe, MD



Sleep disordered breathing is associated with:

- Cognitive impairment on fronto-subcortical tasks (Naismith et al 2014)
- MCI + dementia 5 years later (Yaffe et al 2011)
- Neuropsychological dysfunction in MCI (Terpening et al 2014)
- Increased dementia risk at 15-year follow-up n=1081, part. Severe OSA (AHI>30) OR = 2.35 (Lutsey et al 2017)
- Meta-analysis, n>4million, SDB 26% more likely to develop cognitive impairment (Leng et al 2017)

How is sleep disordered breathing linked?

Is Obstructive Sleep Apnoea Related to Neuropsychological Function in Healthy Older Adults? A Systematic Review and Meta-Analysis

Neuropsychol Rev
DOI 10.1007/s11065-017-9344-6

Nathan Cross^{1,2,3,4,5} • Amit Lampit^{1,4} • Jonathon Pye^{1,4} • Ronald R. Grunstein^{2,5,6} • Nathaniel Marshall^{2,5,7} • Sharon L. Naismith^{1,3,4,5}



Participants: Healthy participants with a mean age > 50 years.

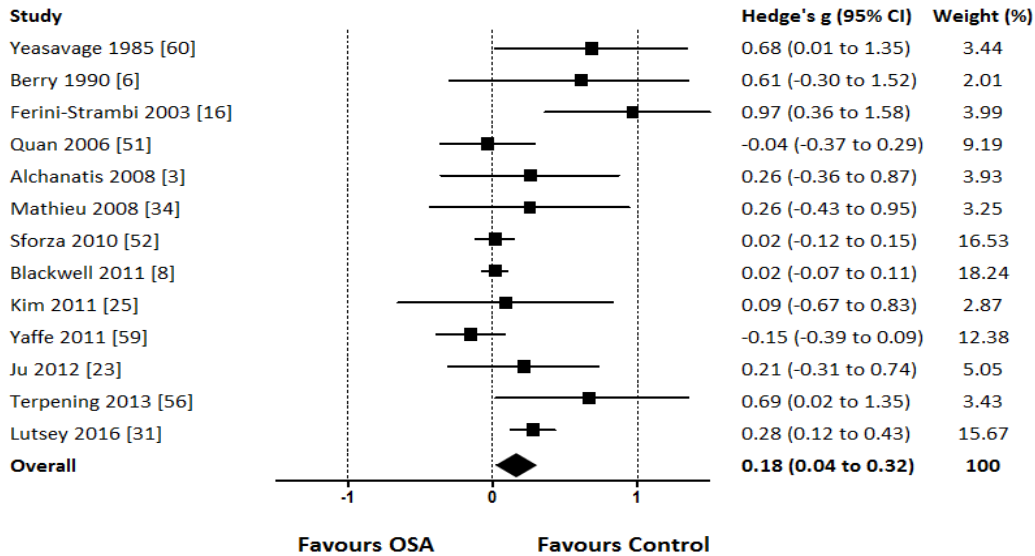
Diagnosis: Only objectively defined OSA as measured by a validated sleep apnoea diagnostic device.

Comparisons: Matched controls with AHI < 5.

Outcome measures: Scores on standardised neuropsychological tests

Study design: Cross-sectional or case-control data. Correlations between cognitive outcomes and measure of OSA severity (i.e. AHI) were included.

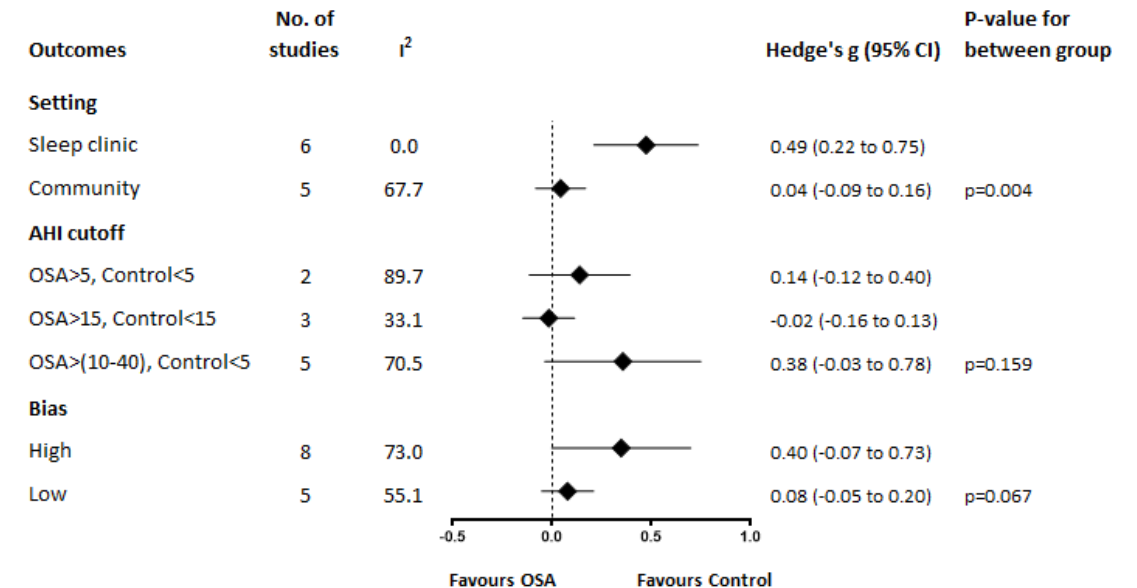
13 studies



Test for heterogeneity: $Q = 29.4$, $df=12$, $I^2=69.2$ (0.04 to 0.32)

Test for overall random effect: $Z=2.25$ $p=0.009$

Forest plot of individual mean and weighted effect sizes across all cognitive domains. Effect size estimates are based on a random-effects model.



Subgroup analyses of moderators of the association between neuropsychological performance and OSA. Q-test was performed for between-group heterogeneity, using a mixed effects model.

Oxygen desaturation particularly problematic

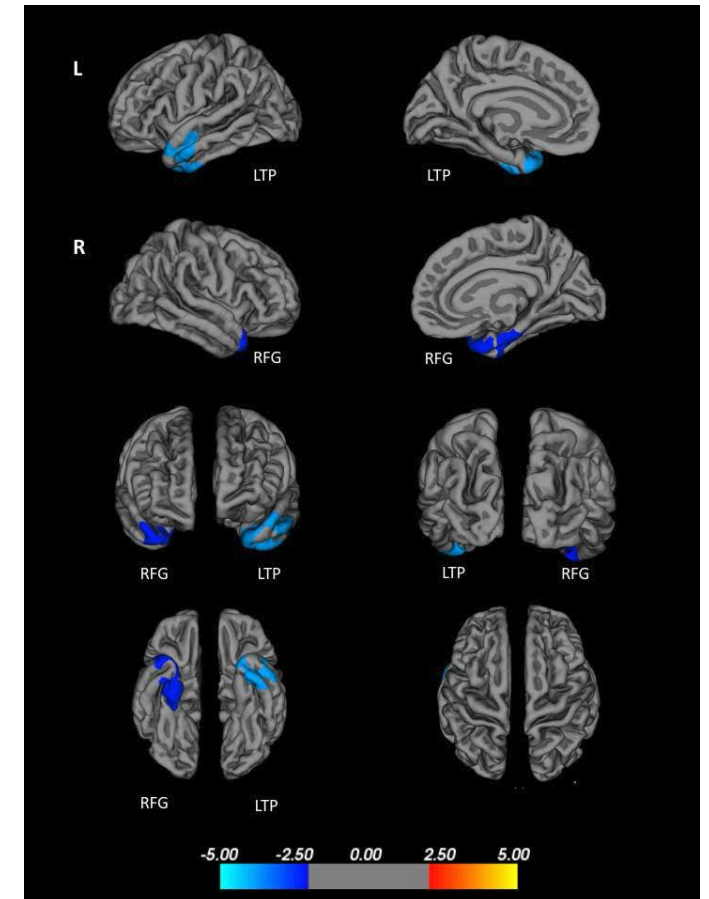
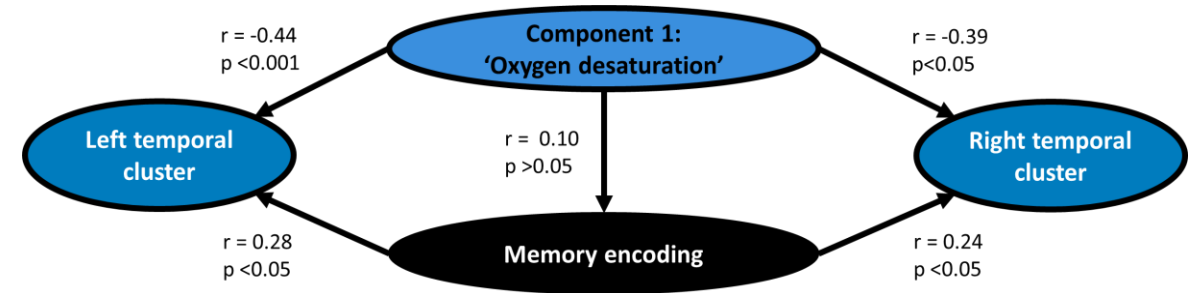
Linked with reduced cortical thickness in temporal lobes bilaterally, which in turn is associated with poorer memory

N = 83 'at risk' of dementia

| Variables included in analysis | Component | |
|---|-----------------------|---------------------|
| | 'Oxygen desaturation' | 'Sleep disturbance' |
| Apnoea-Hypopnea Index | 0.774 | 0.489 |
| Oxygen Desaturation Index | 0.856 | 0.311 |
| %TST Saturation O ₂ below 90% | 0.842 | 0.154 |
| Lowest saturation O ₂ (inverted) | 0.855 | -0.115 |
| Sleep Efficiency (inverted) | 0.001 | 0.768 |
| Awakening Index (n/hr) | 0.115 | 0.780 |
| Arousal Index (n/hr) | 0.454 | 0.734 |
| Variance explained | 42.8% | 30.1% |

Extraction Method: Principal Component Analysis. Rotation Method: Varimax with Kaiser Normalization.

Shaded cells represent the variables contributing to each component (loading > 0.5)



Functional significance

- 19 MCI (50–79; mean 67.8 years)
- 23 Controls (51–78; mean 63.3 years)
- Neuropsychological, medical assessment
- Sleep lab at Brain and Mind Centre
- No difference in demographic or sleep architecture
- AusEd™ driving simulator

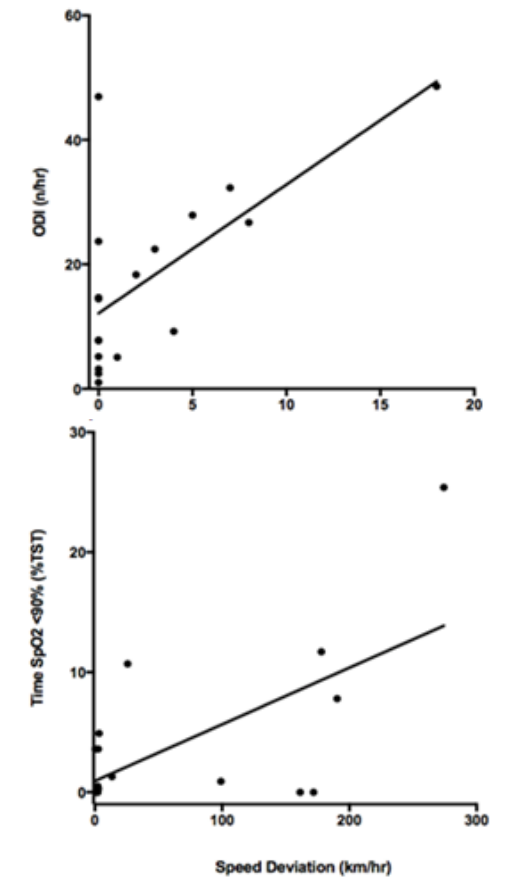
In MCI, driving crashes, steering and speed deviations relate to having oxygen desaturation during sleep



Journal of the International Neuropsychological Society (2017), 23, 1–9.
Copyright © INS. Published by Cambridge University Press, 2017.
doi:10.1017/S155617717000273

Association between Sleep Disordered Breathing and Nighttime Driving Performance in Mild Cognitive Impairment

Nathan Cross,^{1,2,3} Zoe Terpening,¹ Shantel L. Duffy,^{1,2,3,4} Simon J.G. Lewis,^{1,2,3,5} Ron Grunstein,^{2,3,5} Keith Wong,^{2,3,5} AND Sharon L. Naismith^{1,3,4}



Assessment of sleep disturbances in older people



THE UNIVERSITY OF
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Ways to assess sleep

1. Questionnaires and clinical interview

The Pittsburgh Sleep Quality Index (PSQI)

Instructions: The following questions relate to your usual sleep habits during the past month only. Your answers should indicate the most accurate reply for the majority of days and nights in the past month. Please answer all questions. During the past month,

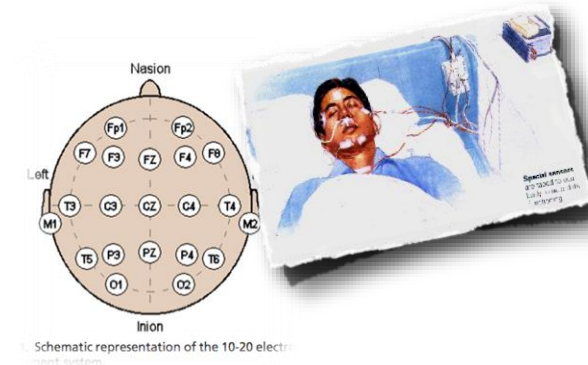
1. When have you usually gone to bed? _____
2. How long (in minutes) has it taken you to fall asleep each night? _____
3. When have you usually gotten up in the morning? _____
4. How many hours of actual sleep do you get at night? (This may be different than the number of hours you spend in bed) _____

| 5. During the past month, how often have you had trouble sleeping because you... | Not during the past month (0) | Less than once a week (1) | Once or twice a week (2) | Three or more times a week (3) |
|---|-------------------------------|---------------------------|--------------------------|--------------------------------|
| a. Cannot get to sleep within 30 minutes | | | | |
| b. Wake up in the middle of the night or early morning | | | | |
| c. Have to get up to use the bathroom | | | | |
| d. Cannot breathe comfortably | | | | |
| e. Cough or snore loudly | | | | |
| f. Feel too cold | | | | |
| g. Feel too hot | | | | |
| h. Have had dreams | | | | |
| i. Have pain | | | | |
| j. Other reason(s), please describe, including how often you have had trouble sleeping because of this reason(s): | | | | |
| 6. During the past month, how often have you taken medicine (prescribed or "over the counter") to help you sleep? | | | | |
| 7. During the past month, how often have you had trouble staying awake while driving, eating meals, or engaging in social activity? | | | | |
| 8. During the past month, how much of a problem has it been for you to keep up enthusiasm to get things done? | | | | |
| 9. During the past month, how would you rate your sleep quality overall? | Very good (0) | Fairly good (1) | Fairly bad (2) | Very bad (3) |

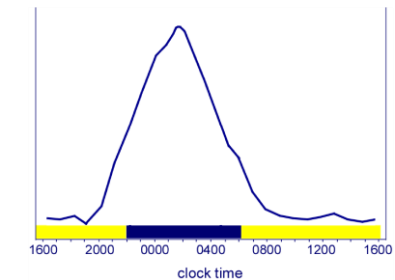
2. Diary and Actigraphy



3. PSG



4. Melatonin



How to assess for sleep disturbance in community dwelling older people

Polysomnography

Sleep efficiency, duration, oxygen desaturation, apnoea-hypopnoea index in REM and Non-REM sleep

Pros: detects sleep disorders undetected by other means

Cons: costly, waiting lists, artificial environment

Actigraphy, with sleep diary

Sleep latency (from diary), Sleep efficiency (from software), Nighttime behavior (visual), Sleep duration (from software), Circadian rhythmicity (wake time from software and visual inspection), Sleep behaviours (from diary)

Pros: Ecological validity, gives markers of circadian rhythmicity

Cons: Some specialized knowledge/training to score

Self-report

Pittsburgh Sleep Quality Index (>5)

Insomnia Severity Index (>7)

Multivariate Apnoea Index

Berlin Questionnaire

Epworth Sleepiness Scale

Pros: Quick, easy to administer, clinical cutoffs

Cons: Sometimes poor correlation with polysomnography, may be hindered by poor recall in those with cognitive impairment, may be linked to depressive symptoms

Pittsburgh Sleep Quality Index (cognitively intact, ?MCI)

Name _____ Date _____

Sleep Quality Assessment (PSQI)

What is PSQI, and what is it measuring?

The Pittsburgh Sleep Quality Index (PSQI) is an effective instrument used to measure the quality and patterns of sleep in adults. It differentiates "poor" from "good" sleep quality by measuring seven areas (components): subjective sleep quality, sleep latency, sleep duration, habitual sleep efficiency, sleep disturbances, use of sleeping medications, and daytime dysfunction over the last month.

INSTRUCTIONS:

The following questions relate to your usual sleep habits during the past month only. Your answers should indicate the most accurate reply for the majority of days and nights in the past month. Please answer all questions.

During the past month,

1. When have you usually gone to bed? _____
2. How long (in minutes) has it taken you to fall asleep each night? _____
3. What time have you usually gotten up in the morning? _____
4. A. How many hours of actual sleep did you get at night? _____
B. How many hours were you in bed? _____

| | | | | |
|---|-------------------------------|---------------------------|--------------------------|--------------------------------|
| 5. During the past month, how often have you had trouble sleeping because you | Not during the past month (0) | Less than once a week (1) | Once or twice a week (2) | Three or more times a week (3) |
| A. Cannot get to sleep within 30 minutes | | | | |
| B. Wake up in the middle of the night or early morning | | | | |
| C. Have to get up to use the bathroom | | | | |
| D. Cannot breathe comfortably | | | | |
| E. Cough or snore loudly | | | | |
| F. Feel too cold | | | | |
| G. Feel too hot | | | | |
| H. Have bad dreams | | | | |
| I. Have pain | | | | |
| J. Other reason (s), please describe, including how often you have had trouble sleeping because of this reason (s): | | | | |
| 6. During the past month, how often have you taken medicine (prescribed or "over the counter") to help you sleep? | | | | |
| 7. During the past month, how often have you had trouble staying awake while driving, eating meals, or engaging in social activity? | | | | |
| 8. During the past month, how much of a problem has it been for you to keep up enthusiasm to get things done? | | | | |
| 9. During the past month, how would you rate your sleep quality overall? | Very good (0) | Fairly good (1) | Fairly bad (2) | Very bad (3) |

Scoring

| | | |
|-------------|--|----------|
| Component 1 | #9 Score | C1 _____ |
| Component 2 | #2 Score (<15min (0), 16-30min (1), 31-60 min (2), >60min (3)) + #5a Score (if sum is equal 0=0; 1-2=1; 3-4=2; 5-6=3) | C2 _____ |
| Component 3 | #4 Score (>7(0), 6-7 (1), 5-6 (2), <5 (3)) | C3 _____ |
| Component 4 | (total # of hours asleep) / (total # of hours in bed) x 100 >85%=0, 75%-84%=1, 65%-74%=2, <65%=3 | C4 _____ |
| Component 5 | # sum of scores 5b to 5j (0=0; 1-9=1; 10-18=2; 19-27=3) | C5 _____ |
| Component 6 | #6 Score | C6 _____ |
| Component 7 | #7 Score + #8 score (0=0; 1-2=1; 3-4=2; 5-6=3) | C7 _____ |

Add the seven component scores together _____ Global PSQI _____

A total score of "5" or greater is indicative of poor sleep quality.
If you scored "5" or more it is suggested that you discuss your sleep habits with a healthcare provider

Add items:
Score of ≥ 5 –
sleep disturbance



Epworth Sleepiness Scale



Add items:
Score of $\geq 8 \rightarrow$ refer for PSG

Use the following scale to choose the **most appropriate number** for each situation:

- 0 = would **never** doze
- 1 = **slight chance** of dozing
- 2 = **moderate chance** of dozing
- 3 = **high chance** of dozing

It is important that you answer each question as best you can.

| Situation | Chance of Dozing (0-3) |
|---|------------------------|
| Sitting and reading _____ | _____ |
| Watching TV _____ | _____ |
| Sitting, inactive in a public place (e.g. a theatre or a meeting) _____ | _____ |
| As a passenger in a car for an hour without a break _____ | _____ |
| Lying down to rest in the afternoon when circumstances permit _____ | _____ |
| Sitting and talking to someone _____ | _____ |
| Sitting quietly after a lunch without alcohol _____ | _____ |
| In a car, while stopped for a few minutes in the traffic _____ | _____ |

THANK YOU FOR YOUR COOPERATION

Screening for Sleep Apnoea

Berlin Questionnaire

1. Complete the following:

Height: _____ Weight: _____

Age: _____ Gender: ____M ____F

2. Do you snore?

____ Yes
____ No
____ Don't know

If you snore:

3. Your snoring is...

____ Slightly louder than breathing
____ As loud as talking
____ Louder than talking
____ Very loud, can be heard in adjacent rooms

4. How often do you snore?

____ Nearly every day
____ 3-4 times a week
____ 1-2 times a week
____ 1-2 times a month
____ never or nearly never

5. Has your snoring ever bothered other people?

____ Yes
____ No

6. Has anyone noticed that you quit breathing during your sleep?

____ Nearly every day.
____ 3-4 times a week
____ 1-2 times a week
____ 1-2 times a month
____ never or nearly never

7. How often do you feel tired or fatigued after your sleep?

____ Nearly every day
____ 3-4 times a week
____ 1-2 times a week
____ 1-2 times a month
____ never or nearly never

8. During your wake time, do you feel tired, fatigued, or not up to par?

____ Nearly every day
____ 3-4 times a week
____ 1-2 times a week
____ 1-2 times a month
____ never or nearly never

9. Have you ever nodded off or fallen asleep while driving a vehicle?

____ Yes
____ No
____ If yes, how often does it occur?
____ Nearly every day.
____ 3-4 times a week
____ 1-2 times a week
____ 1-2 times a month
____ never or nearly never

10. Do you have high blood pressure?

____ Yes
____ No
____ Don't know

BMI (Body mass index) = _____

(see next page for scoring instructions)

Scoring the Berlin Questionnaire

The questionnaire consists of 3 categories related to the risk of having sleep apnea. Patients can be classified into High Risk or Low Risk based on their responses to the individual items and their overall scores in the symptom categories.

Categories and Scoring:

Category 1: items 2, 3, 4, 5, and 6;

Item 2: if 'Yes', assign **1 point**

Item 3: if either of the last two options is the response, assign **1 point**

Item 4: if either of the first two options is the response, assign **1 point**

Item 5: if 'Yes' is the response, assign **1 point**

Item 6: if either of the first two options is the response, assign **2 points**

Add points. Category 1 is positive if the total score is 2 or more points.

Category 2: items 7, 8, and 9.

Item 7: if either of the first two options is the response, assign **1 point**

Item 8: if either of the first two options is the response, assign **1 point**

Item 9: if 'Yes' is the response, assign **1 point**

Add points. Category 2 is positive if the total score is 2 or more points.

Category 3 is positive if the answer to item 10 is 'Yes' or if the BMI of the patient is greater than 30kg/m². (BMI is defined as weight (kg) divided by height (m) squared, i.e., kg/m²).

High Risk: if there are 2 or more categories where the score is positive.

Low Risk: if there is only 1 or no categories where the score is positive.

Additional Question: item 9 should be noted separately.

If 'high risk' refer for sleep study

Others: MAP, STOP, STOP_BANG

Note: not validated for older people
with cognitive impairment

Sleep Disorders Inventory

- Frequency, severity and caregiver burden of sleep disturbances 2-weeks prior
- Prevalences of sleep symptoms 3 (waking up during the night thinking its daytime) to 82% (getting up during the night)
- 7 items
- Score = average of frequency ratings x average of severity ratings (range – 12).

The Sleep Disorders Inventory: an instrument for studies of sleep disturbance in persons with Alzheimer's disease

ROCHELLE E. TRACTENBERG¹, CLIFFORD M. SINGER², JEFFREY L. CUMMINGS³, and LEON J. THAL⁴

Symptom

- 1 Difficulty falling asleep
- 2 Getting up during the night (do not count if the subject gets up once or twice per night to go to the bathroom and quickly falls back to sleep)
- 3 Wandering, pacing or getting involved in inappropriate activities at night
- 4 Awakening you during the night
- 5 Awakening at night, dressing, and planning to go out, thinking that it is morning and time to start the day
- 6 Awakening too early in the morning (earlier than is his/her habit)
- 7 Sleeping excessively during the day
- 8 Other night-time behaviors that bother you

Frequency

- 0: Not present in the last 2 weeks
- 1: Less than once per week
- 2: One to two times per week
- 3: Several times per week but less than every day
- 4: Once or more per day (every night)

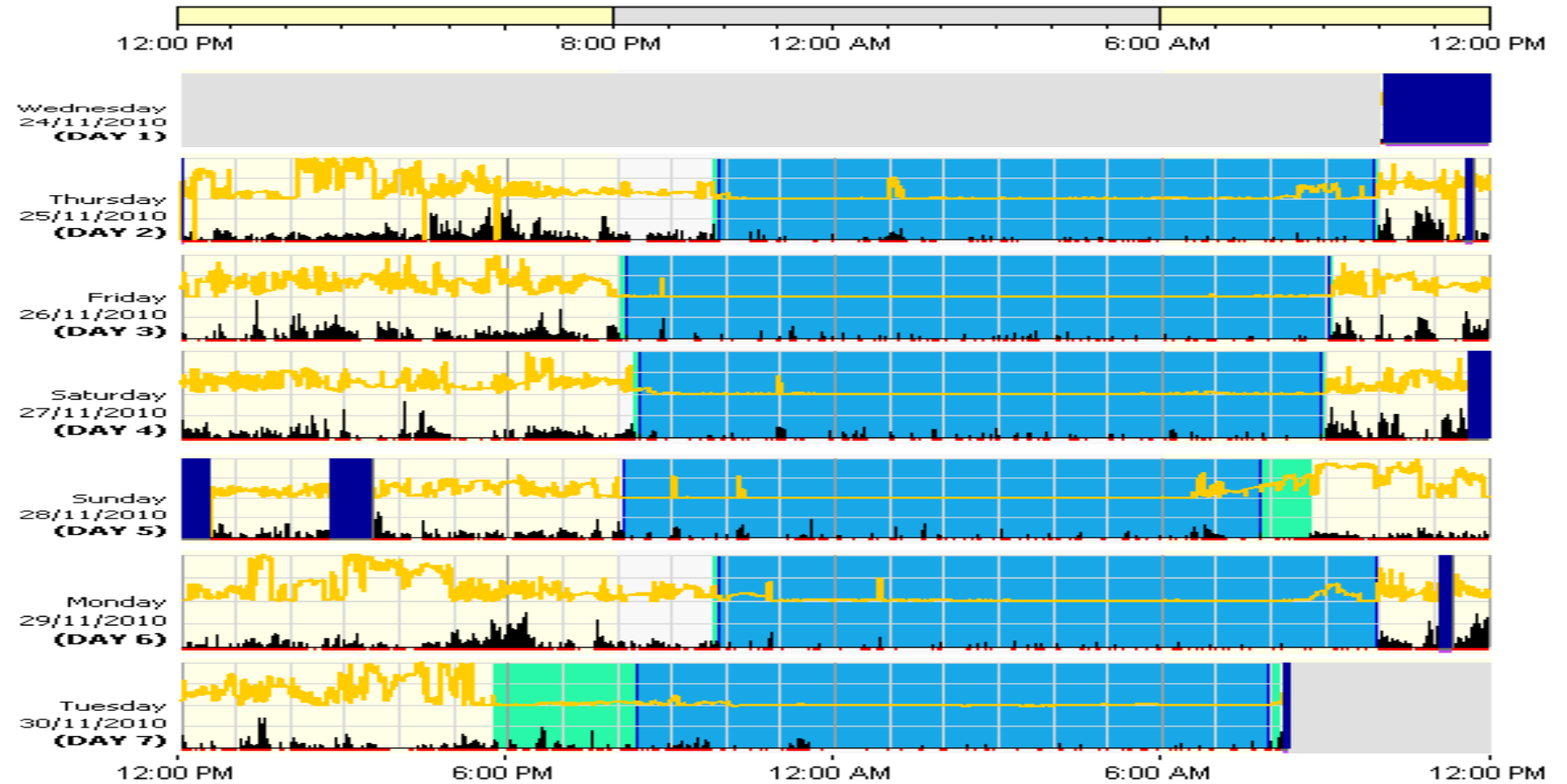
Severity

- 0: Not present
- 1: Mild: night-time behaviors occur but are not particularly disruptive
- 2: Moderate: night-time behaviors occur and disturb the patient and the sleep of the caregiver; more than one type of night-time behavior may be present
- 3: Marked: night-time behaviors occur; several types of night-time behavior may be present; the patient is very distressed during the night and the caregiver's sleep is markedly disturbed

Caregiver Distress: How emotionally distressing do you find this behavior?

- 0: Not at all
- 1: Minimally
- 2: Mildly
- 3: Moderately
- 4: Severely
- 5: Very severely/extremely

Actigraphy



1. Look at sleep-wake patterns across 7-14 days
2. Look at activity levels during waking and sleep periods
3. Can detect delayed timing, advanced timing, irregular timing of sleep
4. Sleep onset, wake times, light, daytime naps, getting up at night

Sleep diary

| DATE | LAST NIGHT I WENT TO BED AT | THIS MORNING I WOKE UP AT | LAST NIGHT I FELL ASLEEP IN | WHEN I WOKE I FELT | MY SLEEP WAS DISTURBED BY | DID YOU HAVE A NAP YESTERDAY? | IN THE 3 HOURS BEFORE BED, I HAD | DID YOU EXERCISE YESTERDAY? | |
|-------|-----------------------------------|---------------------------------|-----------------------------------|------------------------------------|------------------------------|----------------------------------|--|--------------------------------|-----------------------|
| | | | | | | | | DURATION | INTENSITY (1 - 10) |
| DAY 1 | | | | <input type="checkbox"/> Refreshed | | | | | |
| | | | _____ mins | <input type="checkbox"/> Tired | | _____ am/pm for _____ mins | <input type="checkbox"/> Alcohol | _____ mins | |
| Date: | | | | <input type="checkbox"/> _____ | | _____ am/pm for _____ mins | <input type="checkbox"/> Caffeine | _____ mins | |
| DAY 2 | | | | <input type="checkbox"/> Refreshed | | | | | |
| | | | _____ mins | <input type="checkbox"/> Tired | | _____ am/pm for _____ mins | <input type="checkbox"/> Alcohol | _____ mins | |
| Date: | | | | <input type="checkbox"/> _____ | | _____ am/pm for _____ mins | <input type="checkbox"/> Caffeine | _____ mins | |
| DAY 3 | | | | <input type="checkbox"/> Refreshed | | | | | |
| | | | _____ mins | <input type="checkbox"/> Tired | | _____ am/pm for _____ mins | <input type="checkbox"/> Alcohol | _____ mins | |
| Date: | | | | <input type="checkbox"/> _____ | | _____ am/pm for _____ mins | <input type="checkbox"/> Caffeine | _____ mins | |
| DAY 4 | | | | <input type="checkbox"/> Refreshed | | | | | |
| | | | _____ mins | <input type="checkbox"/> Tired | | _____ am/pm for _____ mins | <input type="checkbox"/> Alcohol | _____ mins | |
| Date: | | | | <input type="checkbox"/> _____ | | _____ am/pm for _____ mins | <input type="checkbox"/> Caffeine | _____ mins | |
| DAY 5 | | | | <input type="checkbox"/> Refreshed | | | | | |
| | | | _____ mins | <input type="checkbox"/> Tired | | _____ am/pm for _____ mins | <input type="checkbox"/> Alcohol | _____ mins | |
| Date: | | | | <input type="checkbox"/> _____ | | _____ am/pm for _____ mins | <input type="checkbox"/> Caffeine | _____ mins | |
| DAY 6 | | | | <input type="checkbox"/> Refreshed | | | | | |
| | | | _____ mins | <input type="checkbox"/> Tired | | _____ am/pm for _____ mins | <input type="checkbox"/> Alcohol | _____ mins | |
| Date: | | | | <input type="checkbox"/> _____ | | _____ am/pm for _____ mins | <input type="checkbox"/> Caffeine | _____ mins | |
| DAY 7 | | | | <input type="checkbox"/> Refreshed | | | | | |
| | | | _____ mins | <input type="checkbox"/> Tired | | _____ am/pm for _____ mins | <input type="checkbox"/> Alcohol | _____ mins | |
| Date: | | | | <input type="checkbox"/> _____ | | _____ am/pm for _____ mins | <input type="checkbox"/> Caffeine | _____ mins | |

REM sleep Behaviour Disorder

- ☐ Vivid dreams?
- ☐ Dreams have an action-packed content?
- ☐ Dream contents match behaviour?
- ☐ Limbs move while sleeping?
- ☐ Hurt/almost hurt bed partner?
- ☐ Speaking/shouting/swearing
- ☐ Kicking/waving/saluting?
- ☐ Things fall down?
- ☐ Awoken by own movements?
- ☐ Remember dream contents well?
- ☐ Disturbed sleep?

Score > 5 suggestive of RBD?

The REM Sleep Behavior Disorder Screening Questionnaire— A New Diagnostic Instrument

Movement Disorders
Vol. 22, No. 16, 2007, pp. 2386–2393
© 2007 Movement Disorder Society

Karin Stiasny-Kolster, MD,¹ Geert Mayer, MD,² Sylvia Schäfer, MD,¹ Jens Carsten Möller, MD,¹
Monika Heinzel-Gutenbrunner, PhD,³ and Wolfgang H. Oertel, MD¹

¹Department of Neurology, Center of Nervous Diseases, Philipps-University, Marburg, Germany

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³Department of Child and Adolescent Psychiatry and Psychotherapy, Philipps-University, Marburg, Germany



- Mostly widely used screening questionnaire
- Validated against the current diagnostic guideline
 - 84% sensitivity, 96% specificity

A Single-Question Screen for Rapid Eye Movement Sleep Behavior Disorder: A Multicenter Validation Study

Ronald B. Postuma, MD, MSc,^{1,2*} Isabelle Arnulf, MD, PhD,³
Birgit Hogl, MD,⁴ Alex Iranzo, MD,⁵
Tomoyuki Miyamoto, MD, PhD,⁶ Yves Dauvilliers, MD, PhD,⁷
Wolfgang Oertel, MD,⁸ Yo-Ei Ju, MD,⁹
Monica Puligheddu, MD,¹⁰ Poul Jennum, MD,¹¹
Amelie Pelletier, PhD,^{1,13} Christina Wolfson, PhD,^{12,13}
Smaranda Leu-Semenescu, MD,³ Birgit Frauscher, MD,⁴
Masayuki Miyamoto, MD, PhD,¹⁴
Valerie Cochen De Cock, MD, PhD,⁷
Marcus M. Unger, MD,⁸ Karin Stiasny-Kolster, MD,⁸
Maria Livia Fantini, MD, MSc,^{10,15}
and Jacques Y. Montplaisir, MD, PhD^{2,16}

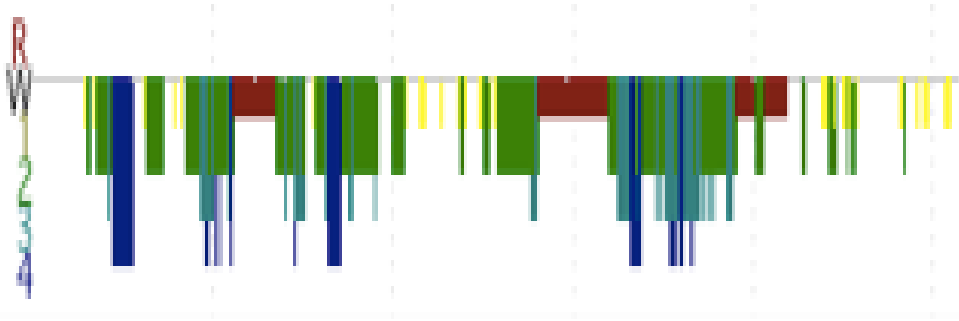
Have you ever been
told, or suspected
yourself that you seem
to ‘act out your dreams’
while asleep for
example, punching,
flailing your arms in the
air, making running
movements?

Movement Disorders, Vol. 27, No. 7, 2012

Compared to current guideline

- 94% sensitivity
- 87% specificity

Polysomnography



Summary

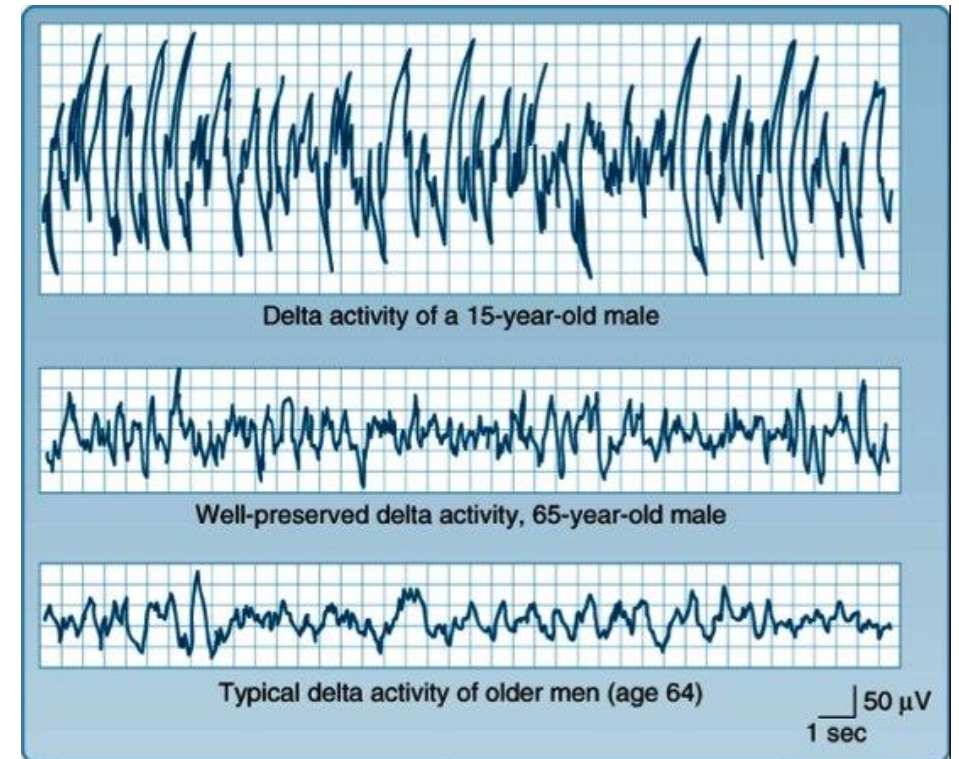
Sleep Architecture

| | | | |
|------------------------------|---------|---------------------|---------|
| Total sleep period (min) | = 443.0 | Sleep latency (min) | = 4.5 |
| Total sleep time (min) | = 423.5 | REM latency (min) | = 86.0 |
| Wake after sleep onset (min) | = 25.0 | NREM sleep (min) | = 326.0 |
| Sleep efficiency (%) | = 92.6 | REM sleep (min) | = 97.5 |

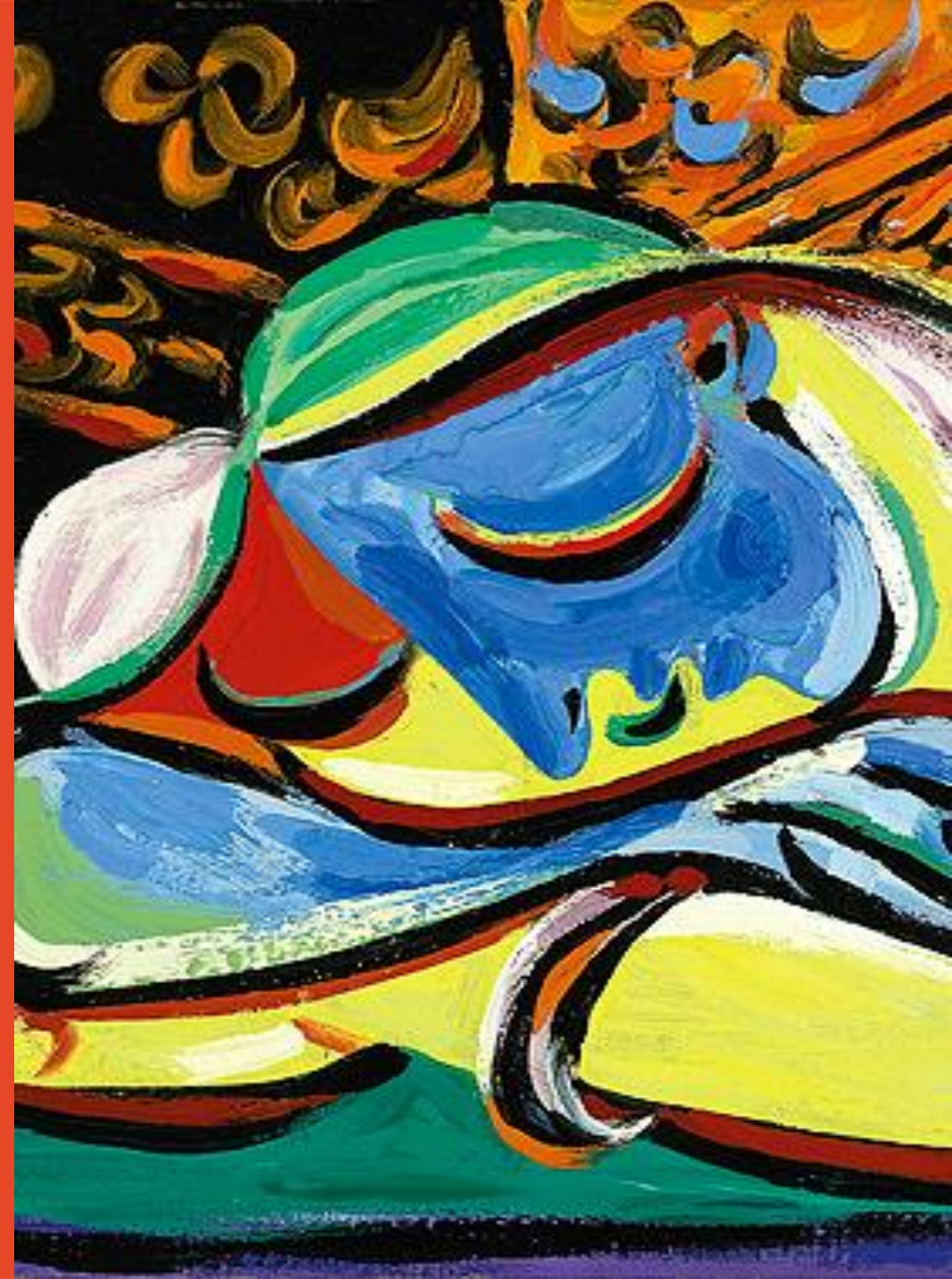
Respiratory*, Movement and arousal events

| | | | |
|---|-------|----------------------------------|-------|
| Total AHI (events/hr) | = 0.7 | Total RDI (events/hr) | = 0.7 |
| RERA index | = 0.0 | RDI in NREM (events/hr) | = 0.2 |
| RDI in REM (events/hr) | = 2.5 | | |
| Minimum SpO ₂ during sleep (%) | = 93 | ODI (desat/hr) | = 0.7 |
| | | | |
| PLM Index (PLM/hr) | = 0.3 | Limb Movement (Movement/hr) | = 6.5 |
| | | | |
| Total arousal index (arousals/hr) | = 6.1 | Limb arousal index (arousals/hr) | = 0.1 |

(*Respiratory events = see page 4 for definition of apneas, hypopneas and RERA)



What treatments are available?



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SYDNEY

Things to considering when assessing and considering treatment options for sleep



- **Depression**
- **Sleep disordered breathing**
- Sleep expectations
- Medical conditions
- Medications
- Body mass index
- Sleep apnoea
- Exercise (not enough, wrong times)
- Raised core body temperature
- Lighting
- Nocturia, pain, discomfort
- Alcohol use
- Thyroid, menopause
- Circadian misalignment
- PLMS, Restless legs

Non-drug treatments for sleep in dementia

The management of sleep disorders in dementia: an update.

Kinnunen, Kirsi; Vikhanova, Anastasia; Livingston, Gill

Current Opinion in Psychiatry. 30(6):491-497, November 2017.

DOI: 10.1097/YCO.0000000000000370

Table 1. Results of trials testing nonpharmacological treatments

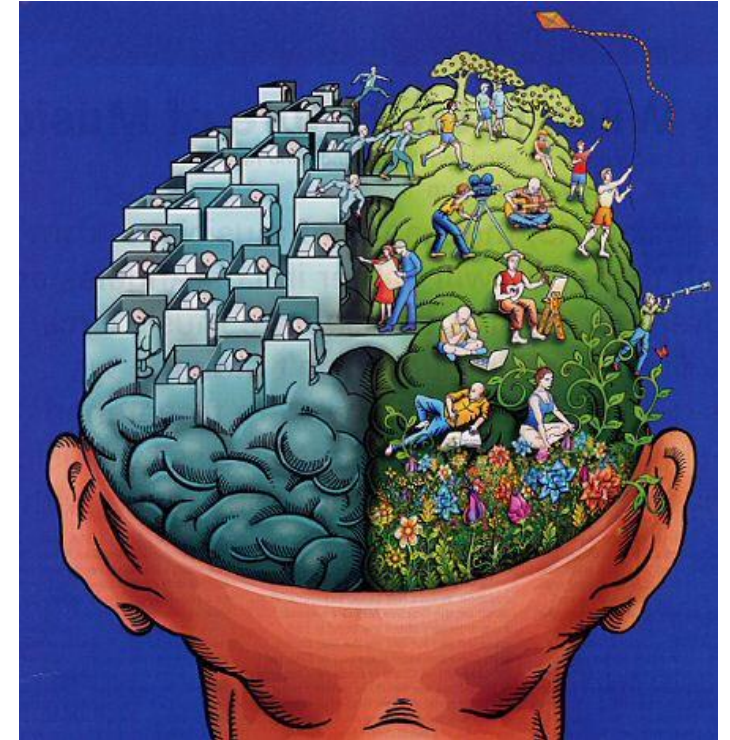
| Study | Strategy | Control group | Participants | Outcomes |
|----------------------------------|--|----------------|---|---|
| Gibson <i>et al.</i> [17] | Mixed (light therapy, exercise, sleep education) | None | Fifteen community-dwelling dyads of carers and people with dementia | 40% Dropout Six participants improved |
| Tewary <i>et al.</i> [18] | Sleep education program for caregivers | None | Fourteen people with dementia (and carers) | 50% Dropout Improved sleep problems |
| Sekiguchi <i>et al.</i> [19] | Bright light therapy 1 h daily for 2 weeks | None | Seventeen people with dementia (people with Alzheimer's disease, 8; people with vascular dementia, 4; DLB, 5) | Improved sleep disturbance in 4/17 mild-to-moderate patients with Alzheimer's disease |
| Lai <i>et al.</i> [20] | Music with movement | Not applicable | Results not available | Not known |
| Krolak-Salmon <i>et al.</i> [21] | Multidisciplinary team intervention | None | 424 people with dementia | Overall neuropsychiatric symptoms reduced in 329 people with data |
| Lazarou <i>et al.</i> [22] | Smart home/assistive technology | None | Four people with dementia | Improved sleep |
| Kodama <i>et al.</i> [23] | Physical activity reference values for a good sleep-wake pattern | None | 117 older community-dwelling participants; 52 with dementia | 51–55 min activity per day needed |

DLB, people with dementia with Lewy bodies.

Studies: 2015-2017

Brief behavioural therapy for cognitively intact older adults

- 4 sessions of (brief behavior therapy for insomnia) BBTi vs. self-monitoring control
- Improvements in sleep onset latency, wake after sleep onset, sleep efficiency, sleep quality, post-treatment and at 3-months follow-up
- Mood improvements in both groups
- No cognitive improvements on neuropsychological measures



Cognitive Behaviour Therapy for MCI: “Sleep-well, think well” CBTi

- 8-week (4 session) pilot group intervention for MCI
 - 16 active treatment, 12 received information only
- Large effect size improvements:
 - Self-reported sleep quality, daytime sleepiness
 - Small to moderate (but non-significant) improvements in actigraphy (WASO, efficiency) and executive functioning.

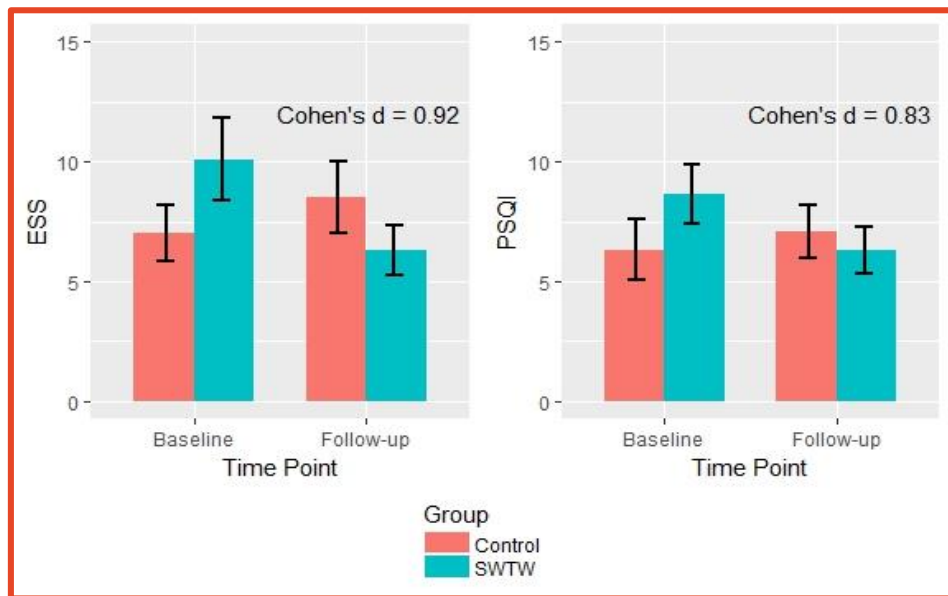


Table 1

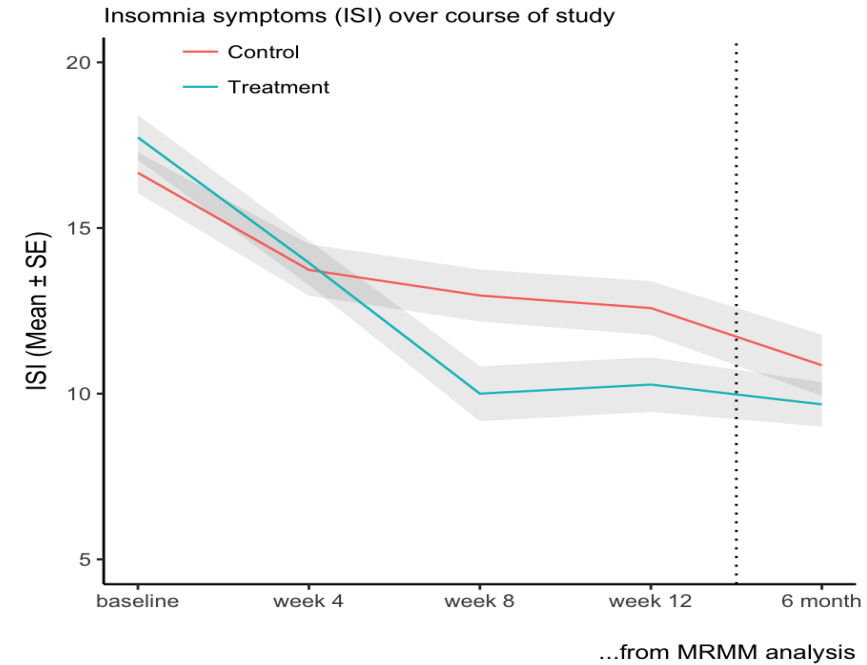
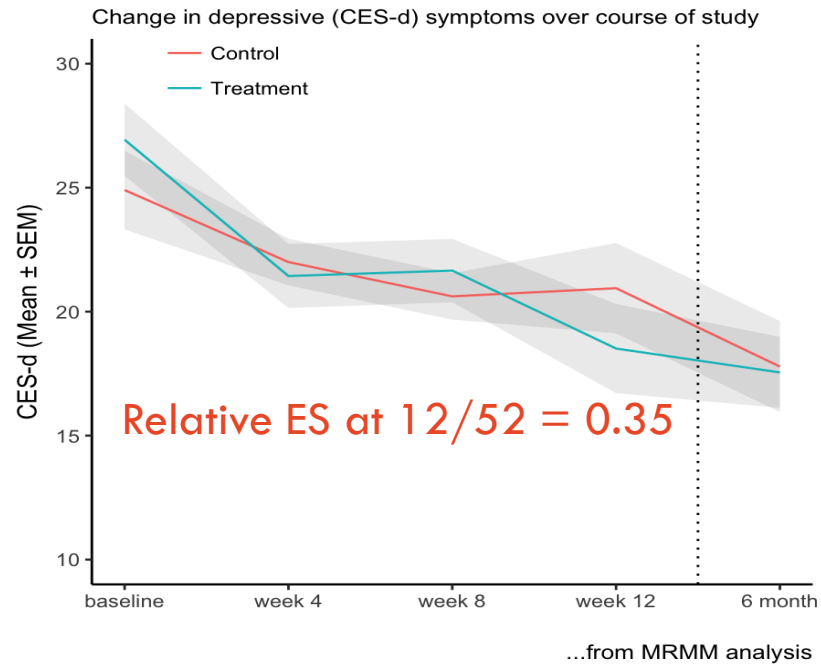
Original SRT method as described by Spielman et al. (1987) [1].

| | |
|-----------------------------|---|
| Sleep window generation: | Average TST based on two week sleep diary |
| Minimum TIB: | Minimum TST not less than 4.5 h |
| Sleep window position: | Fixed rise-time to suit daytime schedule |
| Sleep efficiency criterion: | ≥90% over five days increase TIB by 15 min; <85% over five days decrease TIB by 15 min; no TIB change if 85–89. |
| Daytime sleep: | Napping prohibited |

TST = total sleep time; TIB = time-in-bed.

eCBTi in older men with depression - SOMNI

- RCT, n = 87 males, MDD



group X time difference in the MMRM analysis $p=0.15$
mean difference PHQ-9 4.3 (95% CI -1.2 to 9.8)

Sleep interventions for carers

6 session DREAMS-START (Dementia RElAted Manual for Sleep; STrAtegies for RelaTives)



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doi:10.1017/S104610180000753

DREAMS-START (Dementia RElAted Manual for Sleep; STrAtegies for RelaTives) for people with dementia and sleep disturbances: a single-blind feasibility and acceptability randomized controlled trial

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ABSTRACT

Background: 40% of people with dementia have disturbed sleep but there are currently no known effective treatments. Studies of sleep hygiene and light therapy have not been powered to indicate feasibility and acceptability and have shown 40–50% retention. We tested the feasibility and acceptability of a six-session manualized evidence-based non-pharmacological therapy; Dementia RElAted Manual for Sleep; STrAtegies for RelaTives (DREAMS-START) for sleep disturbance in people with dementia.

Methods: We conducted a parallel, two-armed, single-blind randomized trial and randomized 2:1 to intervention: Treatment as Usual. Eligible participants had dementia and sleep disturbances (scoring ≥ 4 on one Sleep Disorders Inventory item) and a family carer and were recruited from two London memory services and Join Dementia Research. Participants were an actiwatch for two weeks pre-randomization. Trained, clinically supervised psychology graduates delivered DREAMS-START to carers randomized to intervention; covering Understanding sleep and dementia; Making a plan (incorporating actiwatch information, light exposure using a light box); Daytime activity and routine; Difficult night-time behaviors; Taking care of your own (carer's) sleep; and What works? Strategies for the future. Carers kept their manual, light box, and relaxation recordings post-intervention. Outcome assessment was masked to allocation. The co-primary outcomes were feasibility ($\geq 50\%$ eligible people consenting to the study) and acceptability ($\geq 75\%$ of intervention group attending ≥ 4 intervention sessions).

Results: In total, 63 out of 95 (66%; 95% CI: 56–76%) eligible referrals consented between 04/08/2016 and 24/03/2017; 62 (65%; 95% CI: 55–75%) were randomized, and 37 out of 42 (88%; 95% CI: 75–96%) adhered to the intervention.

Conclusions: DREAM-START for sleep disorders in dementia is feasible and acceptable.

Development Process of the DREAMS START Manual



Stage 1

Background literature
Searched for evidence regarding sleep disturbances in dementia and interventions to improve it.

Stage 2

First draft

Used the team's expertise in clinical interventions in dementia and sleep to develop the first draft of the manual.

Stage 3a

Focus group

Participants (Ppts): 2 current & 2 former carers from the ASRN*
Aim: Initial thoughts on the first draft of the manual.



Stage 3b

Virtual reference group

Ppts: The 4 carers from focus group + 3 more ASRN* members.
Aim: Feedback on the manual via email, in parallel with 3a.



Post intervention

Changes made incorporating feedback from qualitative interviews and focus group

Stage 4

Facilitator versions made
Another version of the manual developed, with added prompts for the therapists.

Stage 8

Manual used in trial
Final versions of carer and facilitator manuals used for the trial.



Stage 7

Modified for clarity

Amendments made to the content and layout to form final versions of manuals for the trial.

Stage 6

Therapists' sign off

Therapists were required to demonstrate competency and accuracy in delivering the manual by role play.



Stage 5

Ongoing refinement

Ongoing refining by rehearsal through practising and getting feedback.



* ASRN = Alzheimer's Society Research Network

Vectors created by Freepik

Sleep disturbing psychotropic medications

Drug Interventions

Cholinesterase Inhibitors
(e.g. Aricept)

Benefits to REM sleep, some studies,
Donepezil – more Stage 2 and less Stage 1 sleep

Can cause insomnia, disturbing dreams, REM Sleep Behaviour Dis.

No studies examine effects on memory, but beneficial effects in healthy or young samples

Antidepressants
(e.g. Zoloft)

May suppress REM, insomnia

Antipsychotics
(e.g. Seroquel)

May exacerbate sleep-wake disturbance in AD

Sedative hypnotics
(e.g. Stillnox)

Less disruption to sleep architecture
No known data on cognitive effects

Benzodiazepines
(e.g. Valium)

Decrease SWS & REM, reduce latency & awakenings

Associated with EDS, falls, cognitive side-effects, confusion, Short-term use only

Clonazepam often effective for REM Sleep Behaviour Disorder

Other sleep disturbing medications

| | Example of use |
|-------------------------------|---|
| Diuretics | Blood pressure, glaucoma |
| Anticholinergics | COPD |
| Antihypertensives | High blood pressure |
| Corticosteroids (Prednisone) | Rheumatoid arthritis |
| H2 blockers (Zantac, Tagamet) | Gastroesophageal reflux or peptic ulcers |
| Levodopa, dopamine agonists | Parkinson's disease |
| Adrenergic drugs | For life threatening events – e.g. asthma, cardiac arrest |

Benzos do not seem to help sleep in AD..

REVIEW

Use of Benzodiazepines in Alzheimer's Disease: A Systematic Review of Literature

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W. Wolfgang Fleischhacker, MD; Imrich Blasko, MD, MSc

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Correspondence: Michaela Defrancesco, MD, PhD, MSc, Department of Psychiatry and Psychotherapy, Division of General and Social Psychiatry, Innsbruck Medical University, Anichstrasse 35, 6020 Innsbruck, Austria (Michaela.Defrancesco@i-med.ac.at).

Abstract

Background: Benzodiazepines are frequently prescribed in patients with Alzheimer's disease. Unfortunately, studies evaluating their benefits and risks in these patients are limited.

Methods: Clinical trials focusing on the effect of benzodiazepines on cognitive functions, disease progression, behavioral symptoms, sleep disturbances, and the general frequency of benzodiazepine use were included in this review. Published articles from January 1983 to January 2015 were identified using specific search terms in MEDLINE and PubMed Library according to the recommendations of The Strengthening the Reporting of Observational Studies in Epidemiology initiative.

Results: Of the 657 articles found, 18 articles met predefined selection criteria and were included in this review (8 on frequency, 5 on cognitive functions, 5 on behavioral and sleep disturbances). The frequency of benzodiazepine use ranged from 8.5% to 20%. Five studies reported accelerated cognitive deterioration in association with benzodiazepine use. Two studies reported clinical efficacy for lorazepam and alprazolam to reduce agitation in Alzheimer's disease patients. No evidence was found for an improvement of sleep quality using benzodiazepines.

Conclusion: This systematic review shows a relatively high prevalence of benzodiazepine use but limited evidence for clinical efficacy in Alzheimer's disease patients. However, there is a paucity of methodologically high quality controlled clinical trials. Our results underscore a need for randomized controlled trials in this area.



Drug treatments for dementia

- “A Cochrane review on pharmacotherapies: No definitive randomised controlled trial (RCT) evidence of improvements in actigraphy measures for melatonin, trazodone or ramelteon. Trazodone 50mg at night showed some potential for increased nocturnal sleep time and sleep efficiency in Alzheimer’s disease, but confirmation awaits a larger trial. Notably, no RCTs were found of medications such as hypnotics that are widely prescribed for sleep problems in dementia”

| Study | Treatment | Control group | Participants | Outcomes |
|--|---|--|---|--|
| Wang <i>et al.</i> [28] | Melatonin | Placebo-controlled randomised trials (meta-analysis) | 453 with dementia (305 with Alzheimer’s disease; 287 with primary outcome) | Negative primary outcome sleep efficiency (N=287), but improved nocturnal sleep time (N=305) |
| Macias Saint-Gerons <i>et al.</i> [29] | Trazodone | Naturalistic study of Spanish population | 11 766 individuals aged over 65 years | Increased use of trazodone for dementia and sleep problems |
| Iaboni <i>et al.</i> [30] | Dispensing of drugs with sedative properties (benzodiazepines, trazodone, quetiapine) | Naturalistic study of Canadian population | 1 181 469–1 603 809 individuals Aged over 66 years with drug benefit 2002–2013 | Increased use of trazodone and decreased use of benzodiazepines over time, especially in those with dementia |
| Scoralick <i>et al.</i> [31] | Mirtazapine, 15 mg | Placebo-controlled randomised trial | 24 with Alzheimer’s disease | Increased daytime sleepiness Did not increase sleep efficiency or nocturnal sleep time |
| Leopacher <i>et al.</i> [32 [*]] | Citalopram, 30 mg (secondary analysis) | Placebo-controlled randomised trial | 186 with Alzheimer’s disease | Increase in the severity of sleep disturbances in those with these present at week 9 |
| Alhnyazar <i>et al.</i> [33] | Agomelatine, 25 mg | No control, case study | A 91-year-old woman with Alzheimer’s disease | Improved both insomnia and depression |
| Kazui <i>et al.</i> [34] | Donepezil, 5 mg | 24 healthy controls | 16 DLB (8 with sleep disturbances at baseline) | Inconclusive but tendency towards decreased sleep disturbances in DLB at 14 weeks |
| Ishikawa <i>et al.</i> [35] | Memantine, 20 mg | None | 12 with Alzheimer’s disease | Improved sleep and was well tolerated |

DLB, people with dementia with Lewy bodies.

The management of sleep disorders in dementia: an update.

Kinnunen, Kirsi; Vikhanova, Anastasia; Livingston, Gill

Current Opinion in Psychiatry. 30(6):491-497, November 2017.

DOI: 10.1097/YCO.0000000000000370

Light therapy

- General principles:
 - Evening exposure delays sleep
 - Morning exposure advances sleep
 - Magnitude of circadian shifts depends on intensity and duration - brighter and longer duration produces larger shifts
 - Short wavelength light (blue light) has greatest effects
- Efficacy:
 - Reduction in nighttime awakenings in dementia
 - Benefits best for morning light and if sleep complaints (latency, efficiency, awakenings, total sleep time)
 - May have broader effects on cognition and mood in AD
 - Combination of light and melatonin may have superior effects



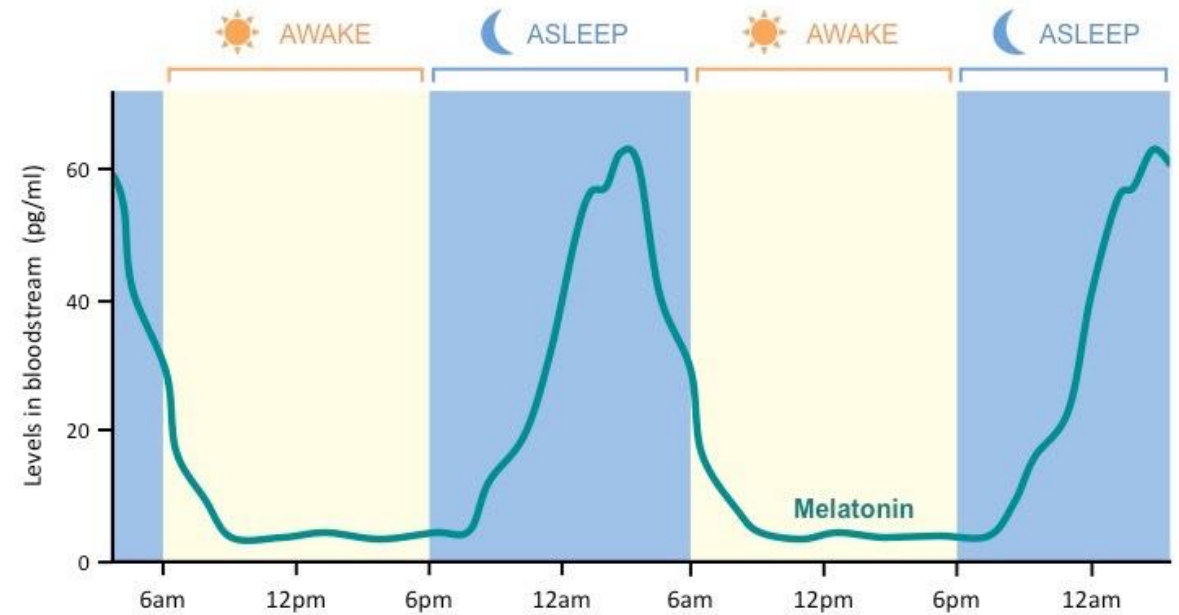
Studies of light therapy

- Healthy older people:
 - *Munch et al: 2011: n = 10 older individuals*
 - 2-hours of blue-enriched polychromatic light per day over 13 days delayed circadian timing by nearly 2-hours
- Nursing home residents:
 - *Alessi: RCT, n = 118 nursing home residents*
 - >30minutes exposure to sunlight (10,000lx)
 - Decreased daytime sleep and less nocturnal awakenings, increase in social activities
- People with dementia:
 - *Riemersma RCT: n = 189 residents, most of whom had dementia*
 - 4-weeks bright light (1000lx) all day (09:00-18:00) vs. dim (300lx) light. 19% reduction in depressive symptoms, 53% improvement in functioning and 5% improvements in cognition similar to found with cholinesterase inhibitors.



Melatonin

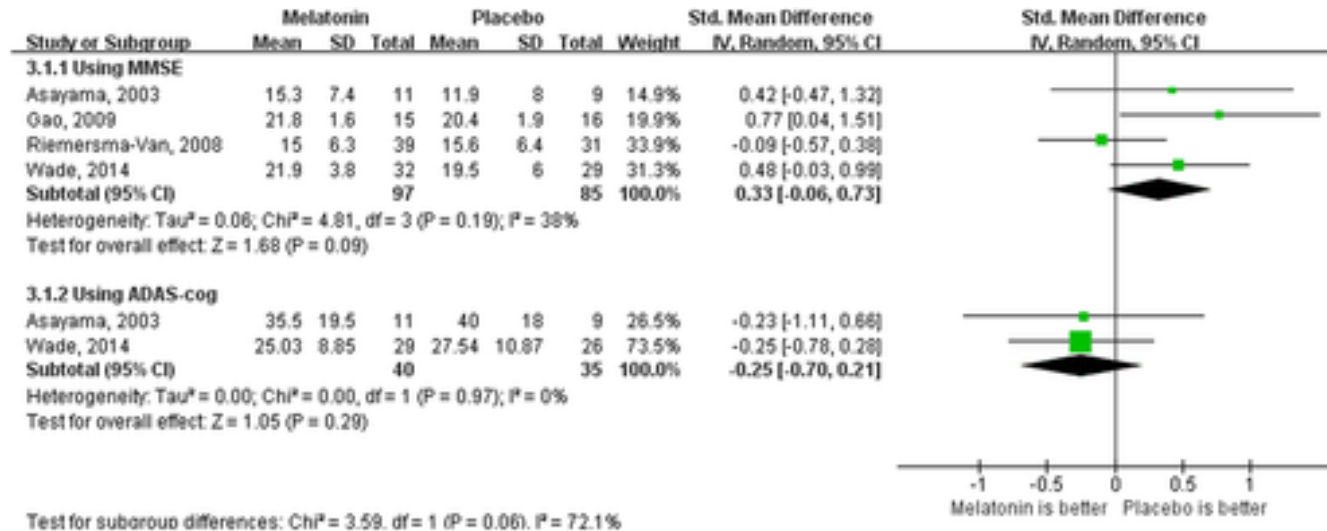
- Chronobiotic
- A powerful antioxidant & free radical scavenger
- Helps to clear harmful reactive oxygen species and reduce oxidative stress levels in brain tissue, as well as beta-amyloid in animal studies



- **6 melatonin administration studies in MCI**
 - 5 double-blind, 1 open-label retrospective (n=651)
 - Doses: 1-9mg administered evening or bedtime
 - Duration 10 days to 3.5 years
 - Improvements in sleep quality and cognition, including psychomotor speed, set-shifting, memory

Meta-analysis of melatonin trials in AD

- 7 studies (n = 462), duration 10 days to 24 weeks.
- AD subjects receiving melatonin treatment showed prolonged total sleep time at night (n = 305; SMD: 0.26).
- No improvements in cognition (MMSE or ADAS-Cog).
- The discontinuation rate was similar between the melatonin and placebo groups



Treatment for REM Sleep Behaviour Disorder in PD

Melatonin 3-12mg at bedtime

- REM sleep most strongly regulated or modulated by the circadian timing system
- 31/38 patients reported improvements: 1 case report, 2 open-label prospective case series (iRBD), 2 retrospective case series
- Successfully treated patients include DLB, PD and MSA, Memory problems, sleep-disordered breathing
- Side effects - headache, sleepiness (AM), delusions/hallucinations
- Follow-up: effective and safe 2y

Clonazepam 0.25mg to 2mg, 30 minutes at bedtime

- Long acting benzodiazepine
 - Elimination half-life of 30-40 hours
- Partial or full response >80 %
- Adverse events: daytime sleepiness, confusion, cognitive
- Relative contraindications: sleep apnoea, dementia, falls history



Managing Sleep Fragmentation in Parkinson's Disease

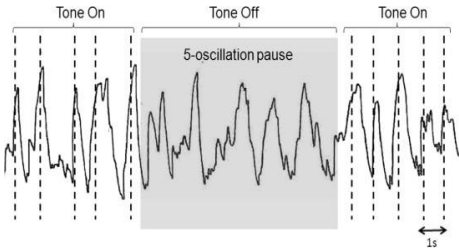
- Nocturia
 - Voiding ≥ 2 times per night
 - $>60\%$ of patients
- Depression
- Avoid alerting events
 - Computer, i-pad, phone (blue light)
- Non-pharmacological
- PD medications
 - Selegiline, Amantadine, Anticholinergic therapy
- Non-PD medications
 - Alpha-blockers (Reduced REM sleep)
 - Beta-blockers (Inhibit Melatonin secretion)
 - Corticosteroids (Cortisol stimulation)
- Uncontrolled motor symptoms, pain, wearing off



The future..



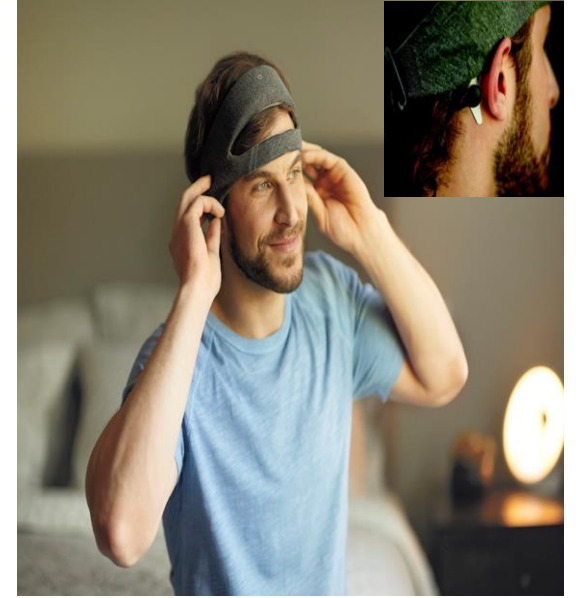
Sound waves to improve sleep



Increase slow waves



Sleep quality not quantity



PHILIPS



Slide courtesy of A/Prof Chris Gordon, Sydney Nursing School

Top ten sleep tips for patients



THE UNIVERSITY OF
SYDNEY

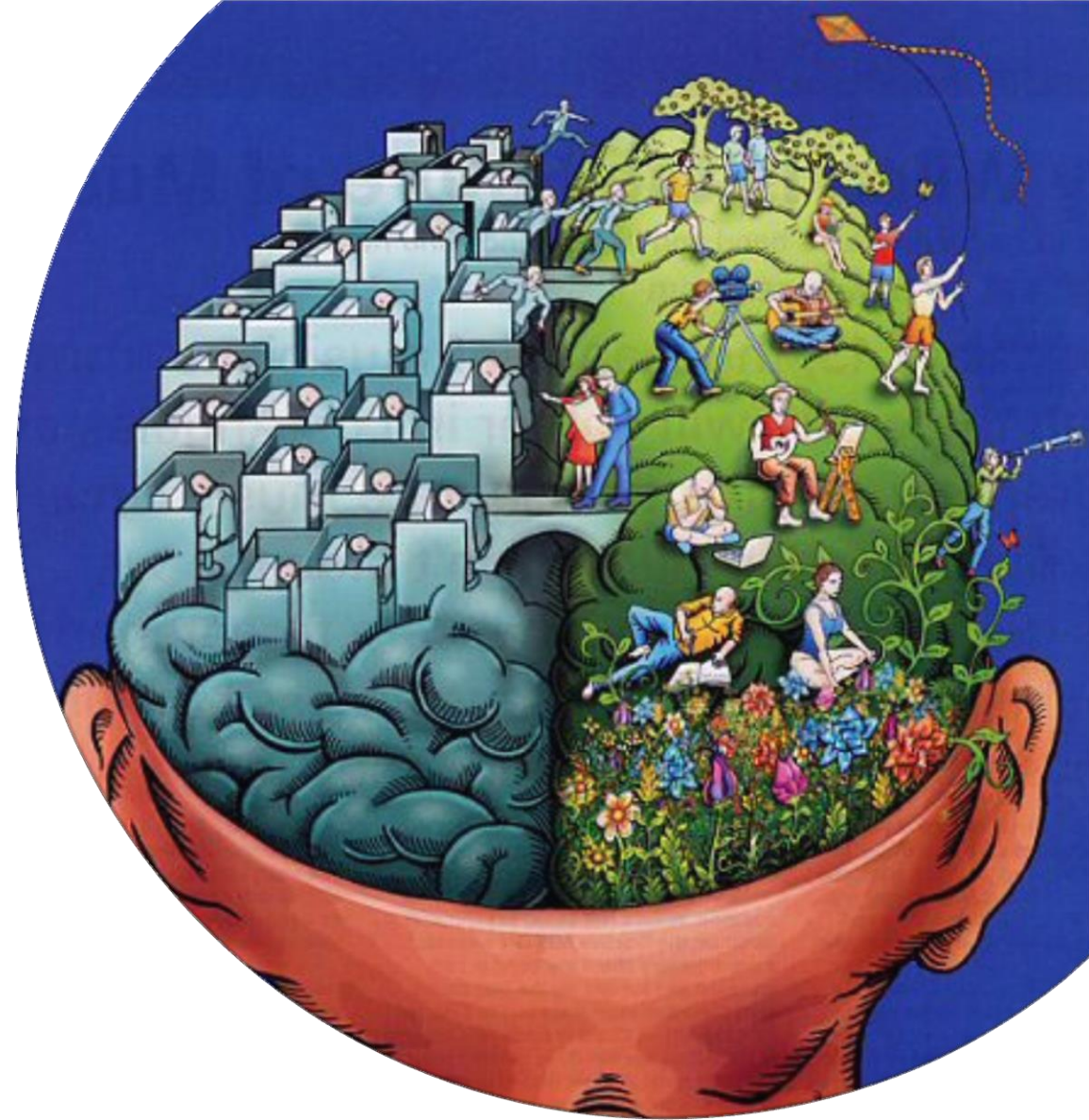


1. Mind your mind: depression

Depression is one of the biggest predictors of poor sleep quality

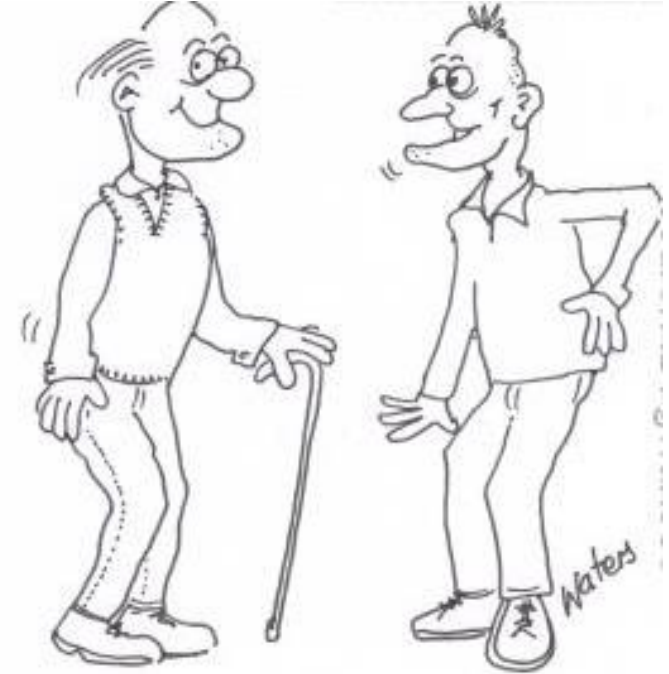
Need to deal with both sleep and mood problems not just one

Depression may even be linked to the onset and recurrence of sleep disorders



2. Mind your mind: stress and anxiety

- Some anxiety is useful
- Too much is unhelpful
- Have “worry time” before bed
 - Write down those worries on paper
 - Place them in a worry box (container)
 - Check them out in the morning
- Were they worth worrying about at night?



"When you get older everything hurts.
and what doesn't hurt doesn't work!"

- Mindfulness and relaxation techniques (e.g. progressive muscle relaxation, yoga) can help

3. Keep physically active

- Increases deep sleep
- Reduces light sleep
- Improves circadian rhythms
- Reduces sleep fragmentation
- Optimal results if in morning or early afternoon
- Reduces time to fall asleep particularly with aerobic exercise
- Resistance training also beneficial
- Try not to do vigorous exercise too close to bedtime



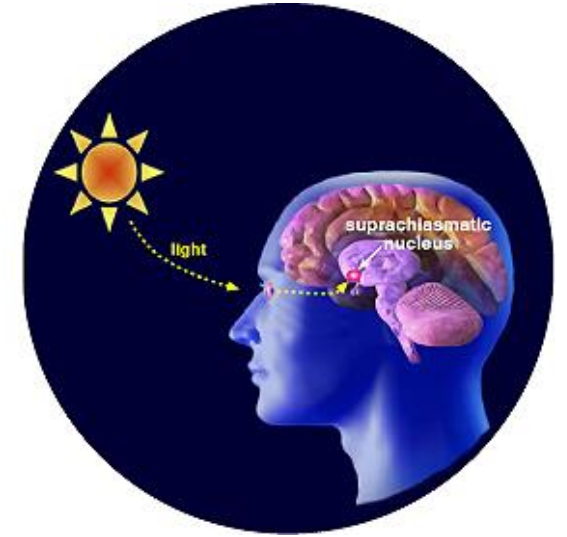
4. Keep cognitively active

- There is a strong link between cognitive decline and sleep problems
- Emerging evidence that cognitive training can improve sleep
- Cognitive activity in the hours prior to sleep can also increase deep sleep stages



5. Keep your body clock ticking in time..

- Behaviours
 - Get up at the same time everyday
 - Avoid heavy meals prior to bedtime
 - Avoid raising body temperature at night
 - hot baths, heavy exercise
- Light
 - Light bright in morning and dim at night
 - Consider bright light therapy
 - Evening light = delayed sleep
 - Morning light = advances sleep
 - Blue light has greatest effects
- Melatonin, prescribed by GP
 - A powerful antioxidant & free radical scavenger
 - Helps sleep to occur within 2 hours



6. Use Naps wisely

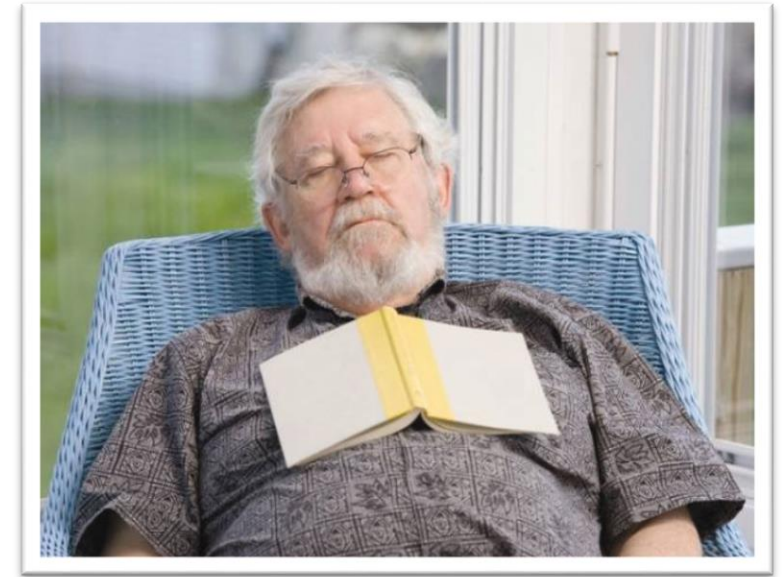
› Prescribed ‘controlled napping’

- Duration is important!
- Nap less than 30minutes
- Nap earlier in day, not in evening
- Counts in your total sleep count

› May improve alertness, cognition, mood

› Can be associated with sleep inertia (feeling ‘groggy’) if nap for too long

› Consider effects on night-time sleep



J Sleep Res. (2015)

Regular Research Paper

Napping in older people ‘at risk’ of dementia: relationships with depression, cognition, medical burden and sleep quality

NATHAN CROSS¹, ZOE TERPENING¹, NAOMI L. ROGERS², SHANTEL L. DUFFY¹, IAN B. HICKIE¹, SIMON J.G. LEWIS¹ and SHARON L. NAISMITH¹

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Keywords
ageing, cognitive decline, mood disorder, morbidity, nap, objective sleep quality

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SUMMARY

Sleep disturbance is prevalent in older adults, particularly so in those at a greater risk of dementia. However, so far the clinical, medical and neuropsychological correlates of daytime sleep have not been examined. The aims of this study were to investigate the characteristics and effects of napping using actigraphy in older people, particularly in those ‘at risk’ of dementia. The study used actigraphy and sleep diaries to measure napping habits in 133 older adults ‘at risk’ of dementia (mean age = 65.5 years, SD = 8.4 years), who also underwent comprehensive medical, psychiatric and neuropsychological assessment. When defined by actigraphy, napping was present in 83.5% (111/133) of participants; however, duration and timing varied significantly among subjects. Nappers had significantly greater medical burden and body mass index, and higher rates of mild cognitive impairment. Longer and more frequent naps were associated with poorer cognitive functioning, as well as higher levels of depressive symptoms, while the timing of naps was associated with poorer nocturnal sleep quality (i.e. sleep latency and wake after sleep onset). This study highlights that in older adults ‘at risk’ of dementia, napping is associated with underlying neurobiological changes such as depression and cognition. Napping characteristics should be more routinely monitored in older individuals to elucidate their relationship with psychological and cognitive outcomes.

7. Beware substances and medications!

- Avoid caffeine
 - ◆ Decreases slow wave 'deep' sleep
 - ◆ Increases awakenings
 - ◆ Increases time to fall asleep
 - ◆ Can be helpful if wish to delay sleep
- Avoid alcohol
 - ◆ Sedative but disruptive
- Consider medications
 - sleeping medications are only effective for short-term use (<2 weeks)
 - Increase risk of falls, dizziness, nausea, drowsiness, headaches
- Limit liquid before bed
- Eating 3-4 hours before bedtime



8. Consider assessment and treatment for sleep apnoea!

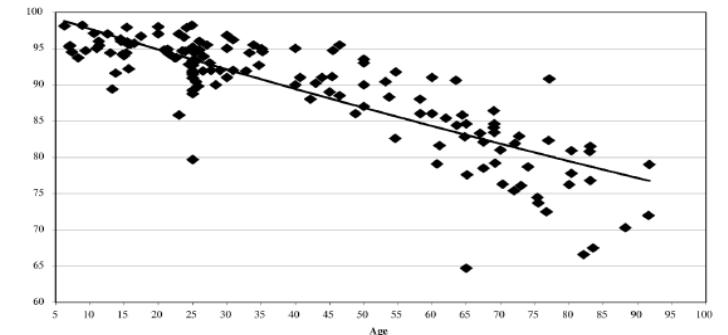
- San Diego CPAP study, $n = 39-52$
 - Less light sleep and awakenings, more deep sleep
 - Reductions in excessive daytime sleepiness
 - Improvements in memory
- Sustained effects of CPAP (MMSE 18-30)
 - Cooke *et al*, 2009: $n = 10$, 1-year follow-up (CPAP $n = 5$ vs. no CPAP)
 - Medium to large effect size improvements in executive functions, psychomotor speed, mood, daytime sleepiness



9. Re-align sleep expectations

- Do not focus on the ‘perceived negative’ effects of poor sleep
- Set boundaries around thinking, worrying and planning
- It is normal to be alert when waking at the beginning or end of a dream
 - Drowsiness will soon follow
 - Usually takes 15-20 minutes

“Acceptance of good nights and bad nights – sleep problems will occur – it is what you do that matters”



Sleep efficiency

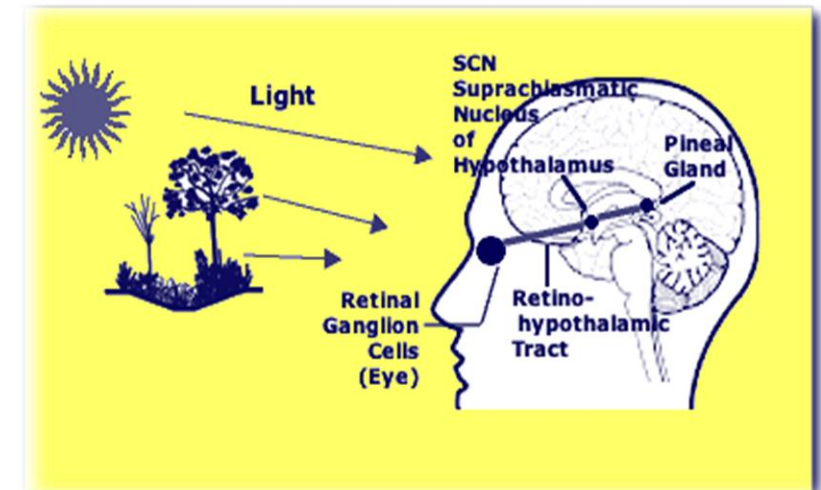
10. After you've tried everything....

- If you can't sleep
 - Get up! Do not stay in bed awake for more than 20 minutes
 - Relax in a different environment
 - Dim lighting
 - Do not stimulate the mind
- Do not try to make up for lost sleep
- Consider formal CBT-I / sleep restriction therapy
- Use a sleep diary
- Talk to your doctor



Dealing with daytime sleepiness

- › Limit the number of demanding activities you perform each day
- › Schedule activities that are cognitively and physically demanding for periods when you feel most alert
- › Take regular rest breaks or brief nap
- › Ensure adequate light exposure
- › Consider substance and medication review



A surreal illustration of a giant, sleeping face with its eyes closed. Inside the face, a team of six cleaners in purple aprons and white shirts are working. One person is on a ladder cleaning the ceiling, another is sweeping the floor, and others are using tools to remove dark, spiky particles that resemble viruses or bacteria. The scene is set in a dreamlike landscape with rolling hills and stylized plants.

Summary

Sleep–wake disturbance

- A prodromal and key feature of Alzheimer's and synucleinopathies (PD, DLB)
- Long sleep duration, sleep-disordered breathing, circadian advance and changes to sleep architecture → problematic, as well as reports of poor sleep quality
- Bidirectional links, ? multiple mechanisms
- Non-pharmacological treatment methods (CBTi) current gold standard, but need more RCTs in MCI, AD, PD. Melatonin is likely to help MCI and AD.
- More screening is required and especially for sleep apnoea and REM Sleep Behaviour Disorder
- Now need to determine if treatment of sleep disturbance can slow disease



**NHMRC Centre of
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to Optimise Sleep in
Brain Ageing and
Neurodegeneration
(CogSleep)**



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